INITIAL
End Date: 8/21/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/24/2020

Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**  
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## Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   A. Cash  
   17,346,689 [7315]
   B. Securities (at market)  
   0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   2,187,588 [7325]

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade  
   186 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
   19,534,463 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   4,295 [7351]
   Less: amount offset by customer owned securities  
   0 [7352]  
   4,295 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   19,538,758 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   19,538,758 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   A. Banks located in the United States  
   8,151,107 [7500]
   B. Other banks qualified under Regulation 30.7  
   1,791,070 [7520]  
   **9,942,177 [7530]**

2. **Securities**  
   A. In safekeeping with banks located in the United States  
   0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7560]  
   **0 [7570]**

3. **Equities with registered futures commission merchants**  
   A. Cash  
   4,086,279 [7580]
   B. Securities  
   0 [7590]
   C. Unrealized gain (loss) on open futures contracts  
   0 [7600]
   D. Value of long option contracts  
   0 [7610]
   E. Value of short option contracts  
   0 [7615]  
   **4,086,279 [7620]**

4. **Amounts held by clearing organizations of foreign boards of trade**  
   A. Cash  
   6,449,101 [7640]
   B. Securities  
   0 [7650]
   C. Amount due to (from) clearing organization - daily variation  
   0 [7660]
   D. Value of long option contracts  
   0 [7670]
   E. Value of short option contracts  
   0 [7675]  
   **6,449,101 [7680]**

5. **Amounts held by members of foreign boards of trade**  
   A. Cash  
   2,075,458 [7700]
   B. Securities  
   0 [7710]
   C. Unrealized gain (loss) on open futures contracts  
   0 [7720]
   D. Value of long option contracts  
   186 [7730]
   E. Value of short option contracts  
   0 [7735]  
   **2,075,644 [7740]**

6. **Amounts with other depositories designated by a foreign board of trade**  
   0 [7760]

7. **Segregated funds on hand**  
   0 [7765]

8. **Total funds in separate section 30.7 accounts**  
   22,553,201 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   3,014,443 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    2,014,443 [7785]
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **A. Cash**: 1,572,698,293 [7010]
   - **B. Securities (at market)**: 99,822,223 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **Net**: -247,419,441 [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market**: 2,899,075,203 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -2,820,903,135 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **Total**: 1,503,273,143 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **Total**: 226,816 [7045]
   - Less: amount offset by customer securities
     - **Total**: -127,836 [7047] 98,980 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - **Total**: 1,503,372,123 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - **A. Cash**: 301,232,649 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 789,329 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**: 573,415,938 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: 469,941,537 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 94,139,913 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **Total**: 6,201,147 [7130]

10. Exchange traded options
    - **A. Value of open long option contracts**: 2,899,075,203 [7132]
    - **B. Value of open short option contracts**: -2,820,903,135 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. Segregated funds on hand
    - **Total**: 4,892,981 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **Total**: 1,528,785,562 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **Total**: 25,413,439 [7190]

15. Management Target Amount for Excess funds in segregation
    - **Total**: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **Total**: 6,413,439 [7198]

Excess
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]