WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: 19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range: 0 to 0
- Percentage range of customer segregated funds required: 0% to 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: 1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range: 0 to 0
- Percentage range of customer secured funds required: 0% to 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range: 0 to 0
- Percentage range of cleared swaps customer collateral required: 0% to 0%

Attach supporting documents
**INITIAL**

End Date: 8/27/2020  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 8/28/2020

**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   A. Cash: 17,217,984 [7315]  
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 1,849,657 [7325]

3. Exchange traded options
   
   a. Market value of open option contracts purchased on a foreign board of trade: 186 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 19,067,827 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 2,027 [7351]  
Less: amount offset by customer owned securities: 0 [7352] 2,027 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 19,069,854 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 19,069,854 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   
   A. Banks located in the United States: 6,717,927 [7500]  
   B. Other banks qualified under Regulation 30.7: 1,840,127 [7520] 8,558,054 [7530]

2. Securities
   
   A. In safekeeping with banks located in the United States: 0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   
   A. Cash: 4,895,669 [7580]  
   B. Securities: 0 [7590]  
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   D. Value of long option contracts: 0 [7610]  
   E. Value of short option contracts: 0 [7615] 4,895,669 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   
   A. Cash: 6,522,969 [7640]  
   B. Securities: 0 [7650]  
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   D. Value of long option contracts: 0 [7670]  
   E. Value of short option contracts: 0 [7675] 6,522,969 [7680]

5. Amounts held by members of foreign boards of trade
   
   A. Cash: 2,295,965 [7700]  
   B. Securities: 0 [7710]  
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   D. Value of long option contracts: 186 [7730]  
   E. Value of short option contracts: 0 [7735] 2,296,151 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 22,272,843 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,202,989 [7785]
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<thead>
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<tbody>
<tr>
<td>1.</td>
<td>Net ledger balance</td>
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<tr>
<td>A. Cash</td>
<td>$1,374,434,980</td>
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<tr>
<td>B. Securities (at market)</td>
<td>$85,244,776</td>
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<tr>
<td>2.</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
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<td>$-240,194,919</td>
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<td>3.</td>
<td>Exchange traded options</td>
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<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>$3,166,519,684</td>
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<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>$-2,944,570,043</td>
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<td>4.</td>
<td>Net equity (deficit) (add lines 1, 2 and 3)</td>
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<td>$1,441,434,478</td>
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<td>5.</td>
<td>Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
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<td>Less: amount offset by customer securities</td>
<td>$-178,708</td>
<td>93,297</td>
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<td>6.</td>
<td>Amount required to be segregated (add lines 4 and 5)</td>
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<td>$1,441,527,775</td>
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<td>7.</td>
<td>Deposited in segregated funds bank accounts</td>
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<tr>
<td>A. Cash</td>
<td>$353,421,267</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>$0</td>
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<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$799,429</td>
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<td>8.</td>
<td>Margins on deposit with derivatives clearing organizations of contract markets</td>
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<tr>
<td>A. Cash</td>
<td>$279,744,905</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>$519,931,367</td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$793,323,983</td>
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<td>9.</td>
<td>Net settlement from (to) derivatives clearing organizations of contract markets</td>
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<td>$9,564,457</td>
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<td>10.</td>
<td>Exchange traded options</td>
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<tr>
<td>A. Value of open long option contracts</td>
<td>$3,166,519,684</td>
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<tr>
<td>B. Value of open short option contracts</td>
<td>$-2,944,570,043</td>
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<td>11.</td>
<td>Net equities with other FCMs</td>
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<tr>
<td>A. Net liquidating equity</td>
<td>$0</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>$0</td>
<td></td>
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<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$0</td>
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<td>12.</td>
<td>Segregated funds on hand</td>
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<td>$5,121,364</td>
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<td>13.</td>
<td>Total amount in segregation (add lines 7 through 12)</td>
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<td>$1,469,856,413</td>
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<td>14.</td>
<td>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
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<td>$28,328,638</td>
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<td>15.</td>
<td>Management Target Amount for Excess funds in segregation</td>
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<td>$19,000,000</td>
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<td>16.</td>
<td>Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td></td>
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<tr>
<td>Excess</td>
<td>$9,328,638</td>
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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]