INITIAL
End Date: 9/3/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/4/2020
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   A. Cash: 16,318,265 [7315]
   
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 1,666,409 [7325]

3. Exchange traded options
   
   a. Market value of open option contracts purchased on a foreign board of trade: 192 [7335]
   
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 17,984,866 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 10,841 [7351]

   Less: amount offset by customer owned securities: 0 [7352] 10,841 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 17,995,707 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 17,995,707 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   
   A. Banks located in the United States: 5,932,959 [7500]
   
   B. Other banks qualified under Regulation 30.7: 1,724,719 [7520] 7,657,678 [7530]

2. Securities
   
   A. In safekeeping with banks located in the United States: 0 [7540]
   
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   
   A. Cash: 4,520,581 [7580]
   
   B. Securities: 0 [7590]
   
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   
   D. Value of long option contracts: 0 [7610]
   
   E. Value of short option contracts: 0 [7615] 4,520,581 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   
   A. Cash: 6,565,916 [7640]
   
   B. Securities: 0 [7650]
   
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   
   D. Value of long option contracts: 0 [7670]
   
   E. Value of short option contracts: 0 [7675] 6,565,916 [7680]

5. Amounts held by members of foreign boards of trade
   
   A. Cash: 2,378,721 [7700]
   
   B. Securities: 0 [7710]
   
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   
   D. Value of long option contracts: 0 [7730] 192 [7733]
   
   E. Value of short option contracts: 0 [7735] 2,378,913 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 21,123,088 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,127,381 [7785]
INITIAL
End Date: 9/3/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/4/2020

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - Cash: 1,284,456,382 [7010]
   - Securities (at market): 90,215,589 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - Loss: 256,556,314 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 3,311,782,069 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,021,925,532 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - Net equity: 1,405,972,194 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Gross amount: 261,927 [7045]
   - Less: amount offset by customer securities: -124,399 [7047]

6. Amount required to be segregated (add lines 4 and 5)
   - Amount required to be segregated: 1,406,109,722 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash: 353,519,880 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 805,397 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 158,128,814 [7100]
   - B. Securities representing investments of customers' funds (at market): 544,914,501 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 84,321,624 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - Net settlement: -3,425,798 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 3,311,782,069 [7132]
    - B. Value of open short option contracts: -3,021,925,532 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand
    - Segregated funds on hand: 5,088,568 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - Total amount: 1,433,209,523 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - Excess (deficiency): 27,099,801 [7190]

15. Management Target Amount for Excess funds in segregation
    - Management Target Amount: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 8,099,801 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net Unrealized Profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 9 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]