INITIAL
End Date: 9/7/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/8/2020
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: %; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: %; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: %; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 16,958,873 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,976,676 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 153 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 18,935,702 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 12,058 [7351]
   Less: amount offset by customer owned securities 0 [7352] 12,058 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 18,947,760 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 18,947,760 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 7,245,426 [7500]
   B. Other banks qualified under Regulation 30.7 1,702,696 [7520] 8,948,122 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 4,351,416 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 4,351,416 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,656,512 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,656,512 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 2,193,486 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 153 [7730]
   E. Value of short option contracts 0 [7735] 2,193,639 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 22,149,689 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,201,929 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,201,929 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - A. Cash: \(1,287,544,791\) [7010]
   - B. Securities (at market): \(90,141,606\) [7020]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market: \(-245,308,302\) [7030]
3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: \(3,275,013,762\) [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \(-3,009,864,240\) [7033]
4. Net equity (deficit) (add lines 1, 2 and 3): \(1,397,527,617\) [7040]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: \(272,214\) [7045]
   - Less: amount offset by customer securities: \(-124,686\) [7047]
   - 147,528 [7050]
6. Amount required to be segregated (add lines 4 and 5): \(1,397,675,145\) [7060]
7. Deposited in segregated funds bank accounts
   - A. Cash: \(384,388,805\) [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(10,794,484\) [7090]
8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: \(140,305,163\) [7100]
   - B. Securities representing investments of customers' funds (at market): \(544,923,415\) [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(74,321,624\) [7120]
9. Net settlement from (to) derivatives clearing organizations of contract markets: \(751,108\) [7130]
10. Exchange traded options
    - A. Value of open long option contracts: \(3,275,013,762\) [7132]
    - B. Value of open short option contracts: \(-3,009,864,240\) [7133]
11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]
12. Segregated funds on hand: \(5,025,498\) [7150]
13. Total amount in segregation (add lines 7 through 12): \(1,425,659,619\) [7180]
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): \(27,984,474\) [7190]
15. Management Target Amount for Excess funds in segregation: \(19,000,000\) [7194]
16. Excess (deficiency) funds in segregation over (under) Management Target Amount: 8,984,474 [7198]
Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash                              0 [8500]
   B. Securities (at market)            0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8570] 0 [8580]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash                              0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash                              0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]