INITIAL
End Date: 9/9/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/10/2020
INITIAL
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Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 16,454,883 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,488,853 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 153 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 17,943,889 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 15,055 [7351]
   Less: amount offset by customer owned securities 0 [7352] 15,055 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 17,958,944 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 17,958,944 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 7,871,942 [7500]
   B. Other banks qualified under Regulation 30.7 685,363 [7520] 8,557,305 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 4,128,942 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 4,128,942 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,648,148 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,648,148 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,879,562 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 153 [7730]
   E. Value of short option contracts 0 [7735] 1,879,715 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 21,214,110 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,255,166 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,255,166 [7785]
## Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)(**

### 1. Net ledger balance
- **A. Cash**
  - 1,273,660,167 [7010]
- **B. Securities (at market)**
  - 69,609,959 [7020]

### 2. Net unrealized profit (loss) in open futures contracts traded on a contract market
- -224,638,948 [7030]

### 3. Exchange traded options
- **A. Add market value of open option contracts purchased on a contract market**
  - 3,287,972,897 [7032]
- **B. Deduct market value of open option contracts granted (sold) on a contract market**
  - -3,014,951,486 [7033]

### 4. Net equity (deficit) (add lines 1, 2 and 3)
- 1,391,652,589 [7040]

### 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
- **Less: amount offset by customer securities**
  - -126,850 [7047]
  - 120,699 [7050]

### 6. Amount required to be segregated (add lines 4 and 5)
- 1,391,773,288 [7060]

### 7. Deposited in segregated funds bank accounts
- **A. Cash**
  - 378,835,894 [7070]
- **B. Securities representing investments of customers' funds (at market)**
  - 0 [7080]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**
  - 762,692 [7090]

### 8. Margins on deposit with derivatives clearing organizations of contract markets
- **A. Cash**
  - 144,559,353 [7100]
- **B. Securities representing investments of customers' funds (at market)**
  - 544,921,564 [7110]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**
  - 63,800,157 [7120]

### 9. Net settlement from (to) derivatives clearing organizations of contract markets
- 7,707,272 [7130]

### 10. Exchange traded options
- **A. Value of open long option contracts**
  - 3,287,972,897 [7132]
- **B. Value of open short option contracts**
  - -3,014,951,486 [7133]

### 11. Net equities with other FCMs
- **A. Net liquidating equity**
  - 0 [7140]
- **B. Securities representing investments of customers' funds (at market)**
  - 0 [7160]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**
  - 0 [7170]

### 12. Segregated funds on hand
- 5,047,111 [7150]

### 13. Total amount in segregation (add lines 7 through 12)
- 1,418,655,454 [7180]

### 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
- 26,882,166 [7190]

### 15. Management Target Amount for Excess funds in segregation
- 19,000,000 [7194]

### 16. Excess (deficiency) funds in segregation over (under) Management Target Amount
- **Excess**
  - 7,882,166 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management