AMENDMENT
End Date: 9/17/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/21/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents.
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash 17,547,615 [7315]
   - B. Securities (at market) 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   703,371 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 115 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   18,251,101 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   3,056 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - Method (add lines 4 and 5) 18,254,157 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   18,254,157 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States 8,098,235 [7500]
   - B. Other banks qualified under Regulation 30.7 586,631 [7520] 8,684,866 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 4,733,853 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 4,733,853 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 6,502,142 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 6,502,142 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 1,644,142 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 1,644,257 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   0 [7760]

7. **Segregated funds on hand**
   0 [7765]

8. **Total funds in separate section 30.7 accounts**
   21,565,118 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   3,310,961 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    2,310,961 [7785]
AMENDMENT
End Date:9/17/2020
Firm Name:WEDBUSH SECURITIES INC
Form:Daily Seg - FOCUS II
Submit Date:9/21/2020

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash \(1,158,682,212\) [7010]
   B. Securities (at market) \(61,136,764\) [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market \(-216,761,116\) [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market \(2,988,939,433\) [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market \(-2,587,575,514\) [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) \(1,404,421,779\) [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount \(212,254\) [7045]
   Less: amount offset by customer securities \(-122,784\) [7047] \(89,470\) [7050]

6. Amount required to be segregated (add lines 4 and 5) \(1,404,511,249\) [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   A. Cash \(376,821,972\) [7070]
   B. Securities representing investments of customers' funds (at market) \(0\) [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) \(778,034\) [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash \(184,566,075\) [7100]
   B. Securities representing investments of customers' funds (at market) \(424,950,423\) [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) \(55,218,200\) [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets \(-19,391,938\) [7130]

10. Exchange traded options
    A. Value of open long option contracts \(2,988,939,433\) [7132]
    B. Value of open short option contracts \(-2,587,575,514\) [7133]

11. Net equities with other FCMs
    A. Net liquidating equity \(0\) [7140]
    B. Securities representing investments of customers' funds (at market) \(0\) [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) \(0\) [7170]

12. Segregated funds on hand \(5,140,539\) [7150]

13. Total amount in segregation (add lines 7 through 12) \(1,429,447,215\) [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \(24,935,966\) [7190]

15. Management Target Amount for Excess funds in segregation \(19,000,000\) [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount \(5,935,966\) [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**