INITIAL
End Date: 9/22/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/23/2020
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Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required: ; or
   c. Dollar amount range between:and; or
   d. Percentage range of customer segregated funds required between: and%.

   WEDBUSH SECURITIES INC
   Kevin Wirth
   312-784-0475
   kevin.wirth@wedbush.com

   FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required: ; or
   c. Dollar amount range between:and; or
   d. Percentage range of customer segregated funds required between: and%.

   19,000,000
   0
   0 0
   0 0

   FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required: ; or
   c. Dollar amount range between:and; or
   d. Percentage range of customer secured funds required between: and%.

   1,000,000
   0
   0 0
   0 0

   FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required: ; or
   c. Dollar amount range between:and; or
   d. Percentage range of cleared swaps customer collateral required between: and%.

   0
   0
   0 0
   0 0

Attach supporting documents
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder. 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 14,929,983 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 270,757 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 115 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 15,200,855 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 6,979 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 15,207,834 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 15,207,834 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 6,654,807 [7500]
   B. Other banks qualified under Regulation 30.7 578,510 [7520] 7,233,317 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 3,121,162 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,121,162 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,613,588 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,613,588 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,650,804 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 115 [7730]
   E. Value of short option contracts 0 [7735] 1,650,919 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 18,618,986 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,411,152 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,411,152 [7785]
**INITIAL**

**End Date:** 9/22/2020  
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**  
     - **$1,132,238,925** [7010]  
   - **B. Securities (at market)**  
     - **$60,786,581** [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   - **$-189,643,442** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**  
     - **$2,982,561,407** [7032]  
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**  
     - **$-2,591,359,279** [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   - **$1,394,584,192** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   - **Less: amount offset by customer securities**  
     - **$-127,830** [7047]

6. **Amount required to be segregated (add lines 4 and 5)**  
   - **$1,394,711,191** [7060]

**Funds in segregated accounts**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**  
     - **$374,141,861** [7070]  
   - **B. Securities representing investments of customers' funds (at market)**  
     - **$0** [7080]  
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     - **$753,747** [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**  
     - **$167,159,389** [7100]  
   - **B. Securities representing investments of customers' funds (at market)**  
     - **$424,957,079** [7110]  
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     - **$55,218,200** [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   - **$1,196,009** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**  
      - **$2,982,561,407** [7132]  
    - **B. Value of open short option contracts**  
      - **$-2,591,359,279** [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**  
      - **$0** [7140]  
    - **B. Securities representing investments of customers' funds (at market)**  
      - **$0** [7160]  
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
      - **$0** [7170]

12. **Segregated funds on hand**  
    - **$4,814,634** [7150]

13. **Total amount in segregation (add lines 7 through 12)**  
    - **$1,419,443,047** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    - **$24,731,856** [7190]

15. **Management Target Amount for Excess funds in segregation**  
    - **$19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**  
    - **Excess**  
      - **$5,731,856** [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]