INITIAL
End Date: 9/30/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/1/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**
**End Date: 9/30/2020**
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

| 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers |
| A. Cash | 15,142,232 [7315] |
| B. Securities (at market) | 0 [7317] |

| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade |
| | 219,407 [7325] |

| 3. Exchange traded options |
| a. Market value of open option contracts purchased on a foreign board of trade | 115 [7335] |
| b. Market value of open contracts granted (sold) on a foreign board of trade | 0 [7337] |

| 4. Net equity (deficit) (add lines 1. 2. and 3.) | 15,361,754 [7345] |

| 5. Account liquidating to a deficit and account with a debit balances - gross amount |
| | 3,862 [7351] |

| 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) |
| | 15,365,616 [7355] |

| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. |
| | 15,365,616 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

| 1. Cash in banks |
| A. Banks located in the United States | 6,681,390 [7500] |
| B. Other banks qualified under Regulation 30.7 | 2,232,970 [7520] 8,914,360 [7530] |

| 2. Securities |
| A. In safekeeping with banks located in the United States | 0 [7540] |
| B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

| 3. Equities with registered futures commission merchants |
| A. Cash | 3,001,057 [7580] |
| B. Securities | 0 [7590] |
| C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
| D. Value of long option contracts | 0 [7610] |
| E. Value of short option contracts | 0 [7615] 3,001,057 [7620] |

| 4. Amounts held by clearing organizations of foreign boards of trade |
| A. Cash | 5,673,559 [7640] |
| B. Securities | 0 [7650] |
| C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
| D. Value of long option contracts | 0 [7670] |
| E. Value of short option contracts | 0 [7675] 5,673,559 [7680] |

| 5. Amounts held by members of foreign boards of trade |
| A. Cash | 1,464,486 [7700] |
| B. Securities | 0 [7710] |
| C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
| D. Value of long option contracts | 115 [7730] |
| E. Value of short option contracts | 0 [7735] 1,464,601 [7740] |

| 6. Amounts with other depositories designated by a foreign board of trade | 0 [7760] |

| 7. Segregated funds on hand | 0 [7765] |

| 8. Total funds in separate section 30.7 accounts | 19,053,577 [7770] |

| 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) |
| | 3,687,961 [7780] |

| 10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target |
| | 2,687,961 [7785] |
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,074,568,203 [7010]
   - B. Securities (at market): 60,514,891 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -115,333,693 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 2,827,425,420 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -2,501,256,182 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,345,918,639 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: -124,237 [7047] 175,778 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,346,094,417 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 400,353,743 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 753,083 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 165,239,668 [7100]
   - B. Securities representing investments of customers' funds (at market): 424,950,219 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 55,218,200 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -3,689,420 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 2,827,425,420 [7132]
    - B. Value of open short option contracts: -2,501,256,182 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 4,543,608 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,373,538,339 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 27,443,922 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 8,443,922 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount 0 [8560]

 Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]