INITIAL
End Date: 10/8/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/9/2020
INITIAL
End Date: 10/8/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/9/2020
Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. **Cash** | **16,136,872** [7315] |
   - B. **Securities (at market)** | **0** [7317] |

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** | **-108,112** [7325] |

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade | **113** [7335] |
   - b. Market value of open contracts granted (sold) on a foreign board of trade | **0** [7337] |

4. **Net equity (deficit) (add lines 1. 2. and 3.)** | **16,028,873** [7345] |

5. **Account liquidating to a deficit and account with a debit balances - gross amount** | **3,232** [7351] |

   Less: amount offset by customer owned securities | **0** [7352] + **3,232** [7354] |

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** | **16,032,105** [7355] |

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** | **16,032,105** [7360] |

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States | **6,810,394** [7500] |
   - B. Other banks qualified under Regulation 30.7 | **1,799,821** [7520] + **6,610,215** [7530] |

2. **Securities**
   - A. In safekeeping with banks located in the United States | **0** [7540] |
   - B. In safekeeping with other banks qualified under Regulation 30.7 | **0** [7560] + **0** [7570] |

3. **Equities with registered futures commission merchants**
   - A. **Cash** | **3,247,644** [7580] |
   - B. **Securities** | **0** [7590] |
   - C. Unrealized gain (loss) on open futures contracts | **0** [7600] |
   - D. Value of long option contracts | **0** [7610] |
   - E. Value of short option contracts | **0** [7615] + **3,247,644** [7620] |

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. **Cash** | **4,717,299** [7640] |
   - B. **Securities** | **0** [7650] |
   - C. Amount due to (from) clearing organization - daily variation | **0** [7660] |
   - D. Value of long option contracts | **0** [7670] |
   - E. Value of short option contracts | **0** [7675] + **4,717,299** [7680] |

5. **Amounts held by members of foreign boards of trade**
   - A. **Cash** | **2,522,033** [7700] |
   - B. **Securities** | **0** [7710] |
   - C. Unrealized gain (loss) on open futures contracts | **0** [7720] |
   - D. Value of long option contracts | **0** [7730] + **113** [7730] |
   - E. Value of short option contracts | **0** [7735] + **2,522,146** [7740] |

6. **Amounts with other depositories designated by a foreign board of trade** | **0** [7760] |

7. **Segregated funds on hand** | **0** [7765] |

8. **Total funds in separate section 30.7 accounts** | **19,097,304** [7770] |

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | **3,065,199** [7780] |

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** | **1,000,000** [7780] |

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** | **2,065,199** [7785] |
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: \(949,886,826\) [7010]
   - B. Securities (at market): \(62,122,434\) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: \(2,297,021\) [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: \(2,947,285,789\) [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \(-2,612,476,987\) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: \(1,349,115,083\) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: \(263,977\) [7045]
   - Less: amount offset by customer securities: \(-164,738\) [7047] \(99,239\) [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: \(1,349,214,322\) [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: \(393,806,270\) [7070]
   - B. Securities representing investments of customers' funds (at market): \(0\) [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(760,831\) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: \(170,895,924\) [7100]
   - B. Securities representing investments of customers' funds (at market): \(399,955,217\) [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(56,717,621\) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: \(12,327,494\) [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: \(2,947,285,789\) [7132]
    - B. Value of open short option contracts: \(-2,612,476,987\) [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \(0\) [7140]
    - B. Securities representing investments of customers' funds (at market): \(0\) [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \(0\) [7170]

12. **Segregated funds on hand**: \(4,643,982\) [7150]

13. **Total amount in segregation (add lines 7 through 12)**: \(1,373,916,141\) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: \(24,701,819\) [7190]

15. **Management Target Amount for Excess funds in segregation**: \(19,000,000\) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: \(5,701,819\) [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**