INITIAL
End Date: 10/12/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/13/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: 19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: 1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 16,009,735 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 405,897 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 113 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 16,415,745 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 7,126 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 7,126 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 16,422,871 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 16,422,871 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: 6,755,609 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,741,039 [7520] 8,496,648 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 3,603,109 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 3,603,109 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 4,789,020 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 4,789,020 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 2,726,993 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 113 [7730]
   - E. Value of short option contracts: 0 [7735] 2,727,106 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 19,615,883 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,193,012 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 944,999,526 [7010]
   - B. Securities (at market): 62,329,593 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 49,170,734 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 2,977,095,172 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -2,661,190,810 [7033]
   - Amount required to be segregated (add lines 1, 2 and 3): 1,372,404,215 [7040]

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 395,260 [7045]
   - Less: amount offset by customer securities: -317,489 [7047]
   - 77,771 [7050]

5. **Deposited in segregated funds bank accounts**
   - A. Cash: 364,831,604 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 775,417 [7090]

6. **Margins on deposit with derivatives clearing organizations of contract markets**
   - B. Securities representing investments of customers' funds (at market): 399,955,860 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 56,717,621 [7120]

7. **Net settlement from (to) derivatives clearing organizations of contract markets**: 12,391,474 [7130]

8. **Exchange traded options**
   - A. Value of open long option contracts: 2,977,095,172 [7132]
   - B. Value of open short option contracts: -2,661,190,810 [7133]

9. **Net equities with other FCMs**
   - A. Net liquidating equity: 0 [7140]
   - B. Securities representing investments of customers' funds (at market): 0 [7160]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

10. **Segregated funds on hand**: 4,836,555 [7150]

11. **Total amount in segregation (add lines 7 through 12)**: 1,398,651,001 [7180]

12. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 26,169,015 [7190]

13. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

14. **Excess (deficiency) funds in segregation over (under) Management Target Amount**: 7,169,015 [7198]

Excess
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash
   - B. Securities (at market)
2. Net unrealized profit (loss) in open cleared swaps
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
4. Net Equity (deficit) (add lines 1, 2, and 3)
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
9. Net settlement from (to) derivatives clearing organizations
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts
11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. Cleared swaps customer funds on hand
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
15. Management Target Amount for Excess funds in cleared swaps segregated accounts
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management