INITIAL
End Date: 10/14/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/15/2020
INITIAL
End Date: 10/14/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/15/2020

Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $; or
b. Minimum percentage of customer segregated funds required: %; or
c. Dollar amount range between: $ and; or
d. Percentage range of customer segregated funds required between: % and %.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $; or
b. Minimum percentage of customer secured funds required: %; or
c. Dollar amount range between: $ and; or
d. Percentage range of customer secured funds required between: % and %.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $; or
b. Minimum percentage of cleared swaps customer collateral required: %; or
c. Dollar amount range between: $ and; or
d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 16,654,994 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 566,069 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 114 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 17,221,177 [7355]

5. Account liquidating to a deficit and account with a debit balances - gross amount 4,308 [7351]
Less: amount offset by customer owned securities 0 [7352] 4,308 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 17,225,485 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 17,225,485 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 6,442,741 [7500]
   B. Other banks qualified under Regulation 30.7 1,724,845 [7520] 8,167,586 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 3,833,132 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,833,132 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,778,508 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,778,508 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 3,734,155 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 114 [7730]
   E. Value of short option contracts 0 [7735] 3,734,269 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 20,513,495 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,288,010 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,288,010 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**  
     - $926,716,433 [7010]
   - **B. Securities (at market)**  
     - $62,184,291 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   - $-27,797,775 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**  
     - $3,063,734,285 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**  
     - $-2,679,536,315 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   - $1,345,300,919 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   - $221,129 [7045]

   Less: amount offset by customer securities  
   - $-131,625 [7047]  
   - $89,504 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**  
   - $1,345,390,423 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**  
     - $332,429,302 [7070]
   - **B. Securities representing investments of customers' funds (at market)**  
     - $0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     - $771,534 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**  
     - $209,843,181 [7100]
   - **B. Securities representing investments of customers' funds (at market)**  
     - $399,955,886 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     - $56,717,621 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   - $-16,431,108 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**  
      - $3,063,734,285 [7132]
    - **B. Value of open short option contracts**  
      - $-2,679,536,315 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**  
      - $0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**  
      - $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
      - $0 [7170]

12. **Segregated funds on hand**  
    - $4,695,136 [7150]

13. **Total amount in segregation (add lines 7 through 12)**  
    - $1,372,179,522 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    - $26,789,099 [7190]

15. **Management Target Amount for Excess funds in segregation**  
    - $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**  
      - $7,789,099 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560]
   0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]