WEDBUSH SECURITIES INC

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and ; or 0 0
d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and ; or 0 0
d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and ; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 16,979,085 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,397,093 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 228 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 18,376,406 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,621 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 6,621 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 18,383,027 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 18,383,027 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 6,407,461 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 2,245,836 [7520] 8,653,297 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 3,994,482 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 3,994,482 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 4,843,120 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 4,843,120 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 4,212,208 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7760]
   - **E. Value of short option contracts**: 228 [7730] 4,212,436 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 21,703,335 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,320,308 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,320,308 [7785]
INITIAL
End Date: 10/21/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/22/2020

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash                                    1,338,751,289 [7010]
   B. Securities (at market)                  63,924,411 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   -23,097,656 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,571,014,312 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,495,887,442 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,454,704,914 [7040]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 821,007 [7045]

6. Amount required to be segregated (add lines 4 and 5) 1,455,389,582 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash                                    413,273,395 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 751,058 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash                                    466,514,590 [7100]
   B. Securities representing investments of customers’ funds (at market) 449,960,247 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 58,363,409 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 12,456,595 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,571,014,312 [7132]
    B. Value of open short option contracts -2,495,887,442 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,809,944 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,481,256,108 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 25,866,526 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 6,866,526 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

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<table>
<thead>
<tr>
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<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8500]</td>
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<tr>
<td>B. Securities (at market)</td>
<td>0 [8510]</td>
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<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
<td>0 [8520]</td>
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<tr>
<td>3. Cleared swaps options</td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0 [8530]</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
<td>0 [8540]</td>
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<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
<td>0 [8550]</td>
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<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>0 [8560]</td>
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<td>Less: amount offset by customer owned securities</td>
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<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
<td>0 [8570] 0 [8580]</td>
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<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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<tr>
<td>A. Cash</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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<td>A. Cash</td>
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<td>9. Net settlement from (to) derivatives clearing organizations</td>
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<td>10. Cleared swaps options</td>
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<tr>
<td>A. Value of open cleared swaps long option contracts</td>
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<td>B. Value of open cleared swaps short option contracts</td>
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<td>11. Net equities with other FCMs</td>
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<td>A. Net liquidating equity</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>12. Cleared swaps customer funds on hand</td>
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<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
<td>0 [8760]</td>
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<tr>
<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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