INITIAL

End Date: 11/6/2020

Firm Name: WEDBUSH SECURITIES INC

Form: Daily Seg - FOCUS II

Submit Date: 11/9/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 16,572,247 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,384,172 [7325]

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade**: 153 [7335]
   - b. **Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 17,956,572 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 4,872 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - **Method (add lines 4 and 5)**: 17,961,444 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 17,961,444 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 5,422,739 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 1,634,879 [7520] 7,057,618 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 4,329,222 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 4,329,222 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 5,152,459 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 5,152,459 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 4,828,023 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 153 [7730]
   - **E. Value of short option contracts**: 0 [7735] 4,828,176 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 21,367,475 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,406,031 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,406,031 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash
     - 1,290,910,393 [7010]
   - B. Securities (at market)
     - 57,539,498 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 25,400,011 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market
     - 2,758,733,815 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     - -2,654,299,840 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,478,283,877 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 475,606 [7045]
   - Less: amount offset by customer securities
     - -153,536 [7047] 322,070 [7050]

### FUNDS IN SEGREGATED ACCOUNTS

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,478,605,947 [7060]

7. **Deposited in segregated funds bank accounts**
   - A. Cash
     - 431,170,719 [7070]
   - B. Securities representing investments of customers' funds (at market)
     - 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 716,831 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash
     - 429,734,237 [7100]
   - B. Securities representing investments of customers' funds (at market)
     - 474,947,582 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 51,946,923 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 9,267,245 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts
       - 2,758,733,815 [7132]
    - B. Value of open short option contracts
       - -2,654,299,840 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity
      - 0 [7140]
    - B. Securities representing investments of customers' funds (at market)
      - 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
      - 0 [7170]

12. **Segregated funds on hand**
    - 4,875,744 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,507,093,256 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 28,487,309 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 9,487,309 [7198]
### Daily Segregation - Swaps Statement

#### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]