INITIAL
End Date: 11/9/2020
Firm Name: WEVBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/10/2020
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and %. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder **0 [7305]**

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash **16,716,246 [7315]**
   B. Securities (at market) **0 [7317]**

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **156,455 [7325]**

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade **154 [7335]**
   b. Market value of open contracts granted (sold) on a foreign board of trade **0 [7337]**

4. Net equity (deficit) (add lines 1. 2. and 3.) **16,872,855 [7345]**

5. Account liquidating to a deficit and account with a debit balances - gross amount **3,715 [7351]** Less: amount offset by customer owned securities **0 [7352] 3,715 [7354]**

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) **16,876,570 [7355]**

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **16,876,570 [7360]**

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States **4,960,179 [7500]**
   B. Other banks qualified under Regulation 30.7 **1,591,946 [7520] 6,552,125 [7530]**

2. Securities
   A. In safekeeping with banks located in the United States **0 [7540]**
   B. In safekeeping with other banks qualified under Regulation 30.7 **0 [7560] 0 [7570]**

3. Equities with registered futures commission merchants
   A. Cash **3,691,971 [7580]**
   B. Securities **0 [7590]**
   C. Unrealized gain (loss) on open futures contracts **0 [7600]**
   D. Value of long option contracts **0 [7610]**
   E. Value of short option contracts **0 [7615] 3,691,971 [7620]**

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash **5,226,920 [7640]**
   B. Securities **0 [7650]**
   C. Amount due to (from) clearing organization - daily variation **0 [7660]**
   D. Value of long option contracts **0 [7670]**
   E. Value of short option contracts **0 [7675] 5,226,920 [7680]**

5. Amounts held by members of foreign boards of trade
   A. Cash **4,495,627 [7700]**
   B. Securities **0 [7710]**
   C. Unrealized gain (loss) on open futures contracts **0 [7720]**
   D. Value of long option contracts **154 [7730]**
   E. Value of short option contracts **0 [7735] 4,495,781 [7740]**

6. Amounts with other depositories designated by a foreign board of trade **0 [7760]**

7. Segregated funds on hand **0 [7765]**

8. Total funds in separate section 30.7 accounts **19,966,797 [7770]**

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **3,090,227 [7780]**

10. Management Target Amount for Excess funds in separate section 30.7 accounts **1,000,000 [7780]**

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **2,090,227 [7785]**
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: $1,237,989,443 [7010]
   - B. Securities (at market): $57,595,348 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - $-25,818,787 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $2,773,324,584 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: $-2,630,719,685 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - $1,412,370,903 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - $476,597 [7045]
   - Less: amount offset by customer securities: $-346,970 [7047] \[+129,627 [7050]\]

6. **Amount required to be segregated (add lines 4 and 5)**
   - $1,412,500,530 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: $422,177,902 [7070]
   - B. Securities representing investments of customers’ funds (at market): $0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $716,750 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $375,853,041 [7100]
   - B. Securities representing investments of customers’ funds (at market): $474,948,759 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $51,946,923 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - $-34,706,940 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: $2,773,324,584 [7132]
    - B. Value of open short option contracts: $-2,630,719,685 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 [7140]
    - B. Securities representing investments of customers’ funds (at market): $0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 [7170]

12. **Segregated funds on hand**
    - $4,931,675 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - $1,438,473,009 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - $25,972,479 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $6,972,479 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash 0 [8500]
   - B. Securities (at market) 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps** 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** 0 [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations** 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** 0 [8770]