INITIAL
End Date: 11/10/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/11/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or $19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0%
   c. Dollar amount range between: and ; or $0
   d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or $1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0%
   c. Dollar amount range between: and ; or $0
   d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or $0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
   c. Dollar amount range between: and ; or $0
   d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 17,220,189 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 101,869 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 153 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 17,322,211 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 45,396 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 45,396 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 17,367,607 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 17,367,607 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 5,108,205 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,434,643 [7520] 6,542,848 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 3,540,064 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 3,540,064 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 5,239,589 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 5,029,380 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 153 [7735] 5,029,533 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 20,352,034 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,984,427 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,984,427 [7785]
## Daily Segregation - Segregation Statement

SEREGATION REQUIREMENTS (Section 4d(2) of the CEA) 

### 1. Net ledger balance

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>$1,209,420,491</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>$57,334,838</td>
</tr>
</tbody>
</table>

### 2. Net unrealized profit (loss) in open futures contracts traded on a contract market

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$1,784,589</td>
</tr>
</tbody>
</table>

### 3. Exchange traded options

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>$2,829,790,989</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>$(2,733,576,125)</td>
</tr>
</tbody>
</table>

### 4. Net equity (deficit) (add lines 1, 2 and 3)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$1,364,754,782</td>
</tr>
</tbody>
</table>

### 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$206,319</td>
</tr>
</tbody>
</table>

Less: amount offset by customer securities

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$(120,607)</td>
</tr>
</tbody>
</table>

### 6. Amount required to be segregated (add lines 4 and 5)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$1,364,840,494</td>
</tr>
</tbody>
</table>

## FUNDS IN SEGREGATED ACCOUNTS

### 7. Deposited in segregated funds bank accounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>$433,135,061</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>$0</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$772,525</td>
</tr>
</tbody>
</table>

### 8. Margins on deposit with derivatives clearing organizations of contract markets

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>$325,531,153</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>$474,952,175</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$51,946,923</td>
</tr>
</tbody>
</table>

### 9. Net settlement from (to) derivatives clearing organizations of contract markets

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$4,259,172</td>
</tr>
</tbody>
</table>

### 10. Exchange traded options

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Value of open long option contracts</td>
<td>$2,829,790,989</td>
</tr>
<tr>
<td>B. Value of open short option contracts</td>
<td>$(2,733,576,125)</td>
</tr>
</tbody>
</table>

### 11. Net equities with other FCMs

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Net liquidating equity</td>
<td>$0</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>$0</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$0</td>
</tr>
</tbody>
</table>

### 12. Segregated funds on hand

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$4,615,390</td>
</tr>
</tbody>
</table>

### 13. Total amount in segregation (add lines 7 through 12)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$1,391,427,263</td>
</tr>
</tbody>
</table>

### 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$26,586,769</td>
</tr>
</tbody>
</table>

### 15. Management Target Amount for Excess funds in segregation

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$19,000,000</td>
</tr>
</tbody>
</table>

### 16. Excess (deficiency) funds in segregation over (under) Management Target Amount

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Excess</td>
<td>$7,586,769</td>
</tr>
</tbody>
</table>

[^1]: [7010]
[^2]: [7020]
[^3]: [7030]
[^4]: [7032]
[^5]: [7033]
[^6]: [7040]
[^7]: [7045]
[^8]: [7047]
[^9]: [7050]
[^10]: [7060]
[^11]: [7070]
[^12]: [7080]
[^13]: [7090]
[^14]: [7100]
[^15]: [7110]
[^16]: [7120]
[^17]: [7130]
[^18]: [7132]
[^19]: [7133]
[^20]: [7140]
[^21]: [7160]
[^22]: [7170]
[^23]: [7150]
[^24]: [7180]
[^25]: [7190]
[^26]: [7194]
[^27]: [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: **0** [8500]
   - B. Securities (at market): **0** [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   - **0** [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: **0** [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): **0** [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   - **0** [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: **0** [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - **0** [8590]

### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: **0** [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): **0** [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): **0** [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: **0** [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): **0** [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): **0** [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   - **0** [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: **0** [8670]
    - B. Value of open cleared swaps short option contracts: **0** [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: **0** [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): **0** [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): **0** [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: **0**
    - B. Securities representing investments of cleared swaps customers' funds (at market): **0** [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - **0** [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - **0** [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    - **0** [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - **0** [8770]