INITIAL
End Date: 11/16/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/17/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 19,737,341 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 1,076,048 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 115 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 20,813,504 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 4,711 [7351]
   - Less: amount offset by customer owned securities 0 [7352] 4,711 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 20,818,215 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 20,818,215 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States 8,158,466 [7500]
   - B. Other banks qualified under Regulation 30.7 1,630,221 [7520] 9,788,687 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 4,940,715 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 4,940,715 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 5,549,091 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 5,549,091 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 4,061,429 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 115 [7730]
   - E. Value of short option contracts 0 [7735] 4,061,544 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 24,340,037 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 3,521,822 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 2,521,822 [7785]
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash  
     1,095,158,700 [7010]  
   - B. Securities (at market)  
     62,193,571 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   - -518,484 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market  
     2,943,799,547 [7032]  
   - B. Deduct market value of open option contracts granted (sold) on a contract market  
     -2,775,427,683 [7033]  

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   1,325,205,651 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   - Less: amount offset by customer securities  
     -203,015 [7047]  
   305,430 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**  
   1,325,511,081 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash  
     445,097,697 [7070]  
   - B. Securities representing investments of customers’ funds (at market)  
     0 [7080]  
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     476,909 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash  
     271,225,014 [7100]  
   - B. Securities representing investments of customers’ funds (at market)  
     424,959,551 [7110]  
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     56,946,922 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   - -20,604,883 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts  
      2,943,799,547 [7132]  
    - B. Value of open short option contracts  
      -2,775,427,683 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity  
      0 [7140]  
    - B. Securities representing investments of customers’ funds (at market)  
      0 [7160]  
    - C. Securities held for particular customers or option customers in lieu of cash (at market)  
      0 [7170]

12. **Segregated funds on hand**  
    4,769,740 [7150]

13. **Total amount in segregation (add lines 7 through 12)**  
    1,351,242,814 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    25,731,733 [7190]

15. **Management Target Amount for Excess funds in segregation**  
    19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**

Excess  
6,731,733 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash 0 [8500]
   - B. Securities (at market) 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps** 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 0 [8560]
   - Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations** 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** 0 [8770]