

NOTICE AT COLLECTION OF PERSONAL INFORMATION CLIENTS, PROSPECTIVE CLIENTS AND WEBSITE VISITORS

We want you to understand the categories of personal information we collect and the purposes for which the information will be used. We do not sell your personal information. If you would like to learn more about our privacy practices, please visit www.wedbush.com/privacy-policy/. In this Notice at Collection, “we,” “us,” and “our” refer to Wedbush Financial Services, LLC; Wedbush Securities Inc.; Wedbush Asset Management Capital, LLC; Wedbush Asset Management, LLC; Wedbush Capital Management, LLC; Wedbush Capital; Wedbush Futures; Wedbush Securities Advanced Clearing Services and Kluis Commodity Advisors (collectively, “Wedbush”). “You” refers to you, an individual.

CATEGORIES OF PERSONAL INFORMATION WE COLLECT	BUSINESS OR COMMERCIAL PURPOSE FOR WHICH THE PERSONAL INFORMATION WILL BE USED
<p>When you visit our websites, we collect identifiers, such as your Internet Protocol (IP) address and unique personal identifier (device identifier). Generally, we do not associate this information with any identifiable individual. Our website also offers several ways in which you can voluntarily provide personal information to us. For instance, we collect information from you when you submit it to us through our “Contact Us” form. This information includes identifiers such as your name, e-mail address, and may also include your company name, telephone number, city, and state, along with the information that you provide to us in your message.</p>	<ul style="list-style-type: none"> Analyze activity on our website Detect security incidents, protect against malicious, deceptive, fraudulent or illegal activity, and prosecute those responsible for that activity Debug to identify and repair errors that impair existing intended functionality Market our products and services and market the products and services of financial institutions with whom we have joint marketing agreements
<p>When you open an account with us or consult with us for the purpose of buying financial products or services, we collect identifiers, such as name, address, Internet Protocol (IP) address, unique personal identifier (device identifier), email address, account name, social security number, driver’s license number, passport number, telephone number(s) and signature.</p>	<ul style="list-style-type: none"> Create, maintain, customize, and secure your account(s) Provide financial products and services to you Manage consumer relationship / client satisfaction Detect and prevent fraud and prosecute those responsible for that activity Market our products and services and market the products and services of financial institutions with whom we have joint marketing agreements
<p>When you open an account with us or consult with us for the purpose of buying financial products or services, we collect additional personal information such as age, citizenship, marital status, medical condition, physical or mental disability, and gender.</p>	<ul style="list-style-type: none"> Create, maintain, customize, and secure your account(s) Provide financial products and services to you Manage consumer relationship / client satisfaction Market our products and services and market the products and services of financial institutions with whom we have joint marketing agreements
<p>When you open an account with us or consult with us for the purpose of buying financial products or services, we collect commercial information, such as records of personal property, products or services purchased or considered.</p>	<ul style="list-style-type: none"> Create, maintain, customize, and secure your account(s) Provide financial products and services to you Manage consumer relationship / client satisfaction Detect and prevent fraud and prosecute those responsible for that activity Market our products and services and market the products and services of financial institutions with whom we have joint marketing agreements

CATEGORIES OF PERSONAL INFORMATION WE COLLECT	BUSINESS OR COMMERCIAL PURPOSE FOR WHICH THE PERSONAL INFORMATION WILL BE USED
<p>When you log into your account, we collect Internet or other electronic network activity, such as your interaction with our website and, if applicable, your interactions with your account(s).</p>	<ul style="list-style-type: none"> • Provide financial products and services to you • Detect and prevent fraud and prosecute those responsible for that activity • Support, personalize and develop our website and online products and services • Manage consumer relationship / client satisfaction • Analyze performance of our website and functions • Market our products and services and market the products and services of financial institutions with whom we have joint marketing agreements
<p>When you use one of our Mobile Apps, we collect geolocation data.</p>	<ul style="list-style-type: none"> • Provide financial products and services to you • Detect and prevent fraud and prosecute those responsible for that activity • Support, personalize and develop our website and online products and services • Detect security incidents and analyze activity on our websites and Mobile App(s). • Market financial products and services to you.
<p>When you call us or we call you on a recorded telephone line, we collect audio information such as voice recordings.</p>	<ul style="list-style-type: none"> • Provide financial products and services to you • Verify your identity • Provide customer service and maintain accounts • Detect and prevent fraud and prosecute those responsible for that activity
<p>When you visit our offices, surrounding areas and secure facilities on our premises, we record your photograph and image using closed circuit television security cameras</p>	<ul style="list-style-type: none"> • Provide financial products and services to you • Provide customer service and maintain accounts • Provide for the safety and security of our premises, personnel and our clients' information.
<p>When you open an account with us or consult with us for the purpose of buying financial products or services, we collect professional or employment-related data, such as your work history and income.</p>	<ul style="list-style-type: none"> • Provide financial products and services to you • Create, maintain, customize, and secure your account(s) • Manage consumer relationship / client satisfaction • Market our products and services and market the products and services of financial institutions with whom we have joint marketing agreements
<p>When you open an account with us or consult with us for the purpose of buying financial products or services, we collect education information, and degrees associated with your name.</p>	<ul style="list-style-type: none"> • Provide financial products and services to you • Maintain and service your account(s). • Market our products and services and market the products and services of financial institutions with whom we have joint marketing agreements

CATEGORIES OF PERSONAL INFORMATION WE COLLECT	BUSINESS OR COMMERCIAL PURPOSE FOR WHICH THE PERSONAL INFORMATION WILL BE USED
<p>Inferences drawn from any of the information that we collect about you to create a profile about you and your preferences.</p>	<ul style="list-style-type: none"> • Provide financial products and services to you • Maintain and service your account(s). • Market our products and services and market the products and services of financial institutions with whom we have joint marketing agreements
<p>When you visit our offices, we collect medical information (such as your responses to health questions) and biometric information, including your temperature.</p>	<ul style="list-style-type: none"> • We use this information to maintain a safe work environment and to minimize the risk of transmission of COVID-19 on our premises.