INITIAL
End Date: 12/2/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/3/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance** - Foreign Futures and Foreign Option Trading - All Customers
   - **A. Cash** 19,098,649 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 594,038 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 19,692,687 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 8,931 [7351]
   - **Less: amount offset by customer owned securities** 0 [7352] 8,931 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 19,701,618 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 19,701,618 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States 5,574,655 [7500]
   - B. Other banks qualified under Regulation 30.7 1,129,974 [7520] 6,704,629 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 5,070,903 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 5,070,903 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 6,263,465 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 6,263,465 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 5,233,317 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 5,233,317 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 23,272,314 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 3,570,696 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 2,570,696 [7785]
### Daily Segregation - Segregation Statement

**SEgregation REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**
   - **Securities (at market)**
2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**
   - **Deduct market value of open option contracts granted (sold) on a contract market**
4. **Net equity (deficit) (add lines 1, 2 and 3)**
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Amount offset by customer securities**
6. **Amount required to be segregated (add lines 4 and 5)**

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**
   - **Securities representing investments of customers’ funds (at market)**
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**
   - **Securities representing investments of customers’ funds (at market)**
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
9. **Net settlement from (to) derivatives clearing organizations of contract markets**
10. **Exchange traded options**
    - **Value of open long option contracts**
    - **Value of open short option contracts**
11. **Net equities with other FCMs**
    - **Net liquidating equity**
    - **Securities representing investments of customers’ funds (at market)**
    - **Securities held for particular customers or option customers in lieu of cash (at market)**
12. **Segregated funds on hand**
13. **Total amount in segregation (add lines 7 through 12)**
14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
15. **Management Target Amount for Excess funds in segregation**
16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>Cash</td>
<td>1,036,656,701</td>
</tr>
<tr>
<td>Securities (at market)</td>
<td>62,841,626</td>
</tr>
<tr>
<td>Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>-60,922,955</td>
</tr>
<tr>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>Add market value of open option contracts purchased on a contract market</td>
<td>2,787,678,654</td>
</tr>
<tr>
<td>Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-2,533,147,734</td>
</tr>
<tr>
<td>Net equity (deficit) (add lines 1, 2 and 3)</td>
<td>1,293,106,292</td>
</tr>
<tr>
<td>Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>316,378</td>
</tr>
<tr>
<td>Amount offset by customer securities</td>
<td>-153,064</td>
</tr>
<tr>
<td>Amount required to be segregated (add lines 4 and 5)</td>
<td>1,293,269,606</td>
</tr>
<tr>
<td>Deposited in segregated funds bank accounts</td>
<td></td>
</tr>
<tr>
<td>Cash</td>
<td>405,730,538</td>
</tr>
<tr>
<td>Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>502,531</td>
</tr>
<tr>
<td>Margins on deposit with derivatives clearing organizations of contract markets</td>
<td></td>
</tr>
<tr>
<td>Cash</td>
<td>123,631,591</td>
</tr>
<tr>
<td>Securities representing investments of customers’ funds (at market)</td>
<td>474,966,647</td>
</tr>
<tr>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>57,906,665</td>
</tr>
<tr>
<td>Net settlement from (to) derivatives clearing organizations of contract markets</td>
<td>81,324</td>
</tr>
<tr>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>Value of open long option contracts</td>
<td>2,787,678,654</td>
</tr>
<tr>
<td>Value of open short option contracts</td>
<td>-2,533,147,734</td>
</tr>
<tr>
<td>Net equities with other FCMs</td>
<td></td>
</tr>
<tr>
<td>Net liquidating equity</td>
<td>0</td>
</tr>
<tr>
<td>Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0</td>
</tr>
<tr>
<td>Segregated funds on hand</td>
<td>4,432,439</td>
</tr>
<tr>
<td>Total amount in segregation (add lines 7 through 12)</td>
<td>1,321,782,646</td>
</tr>
<tr>
<td>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>28,513,040</td>
</tr>
<tr>
<td>Management Target Amount for Excess funds in segregation</td>
<td>19,000,000</td>
</tr>
<tr>
<td>Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>9,513,040</td>
</tr>
</tbody>
</table>
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - Cash: 0 [8500]
   - Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8570] 0 [8580]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]