INITIAL
End Date: 12/15/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/16/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder
  
  0 [7305]

### Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
1. **Cash**
   - 20,020,507 [7315]
2. **Securities (at market)**
   - 0 [7317]

### Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
- 3,040,589 [7325]

### Exchange traded options
1. Market value of open option contracts purchased on a foreign board of trade
   - 0 [7335]
2. Market value of open contracts granted (sold) on a foreign board of trade
   - 0 [7337]

### Net equity (deficit) (add lines 1. 2. and 3.)
- 23,061,096 [7345]

### Account liquidating to a deficit and account with a debit balances - gross amount
- 4,778 [7351]

### Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
- 23,065,874 [7355]

### Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
- 23,065,874 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

#### Cash in banks
1. Banks located in the United States
   - 6,192,224 [7500]
2. Other banks qualified under Regulation 30.7
   - 1,640,561 [7520] 7,832,785 [7530]

#### Securities
1. In safekeeping with banks located in the United States
   - 0 [7540]
2. In safekeeping with other banks qualified under Regulation 30.7
   - 0 [7560] 0 [7570]

#### Equities with registered futures commission merchants
1. Cash
   - 6,406,545 [7580]
2. Securities
   - 0 [7590]
3. Unrealized gain (loss) on open futures contracts
   - 0 [7600]
4. Value of long option contracts
   - 0 [7610]
5. Value of short option contracts
   - 0 [7615] 6,406,545 [7620]

#### Amounts held by clearing organizations of foreign boards of trade
1. Cash
   - 6,623,276 [7640]
2. Securities
   - 0 [7650]
3. Amount due to (from) clearing organization - daily variation
   - 0 [7660]
4. Value of long option contracts
   - 0 [7670]
5. Value of short option contracts
   - 0 [7675] 6,623,276 [7680]

#### Amounts held by members of foreign boards of trade
1. Cash
   - 5,676,954 [7700]
2. Securities
   - 0 [7710]
3. Unrealized gain (loss) on open futures contracts
   - 0 [7720]
4. Value of long option contracts
   - 0 [7730]
5. Value of short option contracts
   - 0 [7735] 5,676,954 [7740]

#### Amounts with other depositories designated by a foreign board of trade
- 0 [7760]

#### Segregated funds on hand
- 0 [7765]

#### Total funds in separate section 30.7 accounts
- 26,539,560 [7770]

#### Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
- 3,473,686 [7780]

#### Management Target Amount for Excess funds in separate section 30.7 accounts
- 1,000,000 [7780]

#### Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
- 2,473,686 [7785]
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - **A. Cash** 897,302,223 [7010]
   - **B. Securities (at market)** 62,419,652 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** -23,395,919 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 2,676,276,869 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -2,315,739,175 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,296,863,650 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 185,928 [7045]

6. **Amount required to be segregated (add lines 4 and 5)** 1,296,943,375 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 414,782,423 [7070]
   - **B. Securities representing investments of customers' funds (at market)** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 419,753 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 65,609,554 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 424,981,132 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 57,329,655 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** -6,185,001 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 2,676,276,869 [7132]
    - **B. Value of open short option contracts** -2,315,739,175 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 4,670,244 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,322,145,454 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 25,202,079 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount** 6,202,079 [7198]

**Excess**
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   - 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   - 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - 0 [8570]
   - 0 [8580]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   - 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    - 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - 0 [8770]