INITIAL
End Date: 12/21/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/22/2020
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

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Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $19,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer segregated funds required between: % and .%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer secured funds required between: % and .%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of cleared swaps customer collateral required between: % and .%

Attach supporting documents
## Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

**Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder**: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: 23,479,858 [7315]
   - **Securities (at market)**: 0 [7317]
2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,182,912 [7325]
3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]
4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 24,662,770 [7345]
5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,746 [7351]
   - **Less: amount offset by customer owned securities**: 0 [7352] 6,746 [7354]
6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 24,669,516 [7355]

**Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 24,669,516 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 7,116,556 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 1,463,920 [7520] 8,580,476 [7530]
2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]
3. **Equities with registered futures commission merchants**
   - **A. Cash**: 6,686,731 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 6,686,731 [7620]
4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 7,137,238 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 7,137,238 [7680]
5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 5,697,131 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7730]
   - **E. Value of short option contracts**: 0 [7735] 5,697,131 [7740]
6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]
7. **Segregated funds on hand**: 0 [7765]
8. **Total funds in separate section 30.7 accounts**: 28,101,576 [7770]
9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,432,060 [7780]
10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]
11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,432,060 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. Net ledger balance
   A. Cash                   892,092,015 [7010]
   B. Securities (at market) 86,956,886 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 116,825,973 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,693,535,646 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,455,401,470 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,334,009,050 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 173,684 [7045]

6. Amount required to be segregated (add lines 4 and 5) 1,334,088,637 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash                   380,101,556 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 425,120 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash                   161,422,093 [7100]
   B. Securities representing investments of customers' funds (at market) 474,978,403 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 81,585,345 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 20,026,330 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,693,535,646 [7132]
    B. Value of open short option contracts -2,455,401,470 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,946,421 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,361,619,444 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,530,807 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 8,530,807 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [8500]
   B. Securities (at market) [8510]

2. Net unrealized profit (loss) in open cleared swaps [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [8530]
   B. Market value of open cleared swaps option contracts granted (sold) [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650]

9. Net settlement from (to) derivatives clearing organizations [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [8670]
    B. Value of open cleared swaps short option contracts [8680]

11. Net equities with other FCMs
    A. Net liquidating equity [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710]

12. Cleared swaps customer funds on hand
    A. Cash [8715]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8720]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8730]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [8760]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8770]

Management