Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \( 0 \) \[7305\]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: \( 22,377,748 \) \[7315\]
   - **B. Securities (at market)**: \( 0 \) \[7317\]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: \( 1,101,019 \) \[7325\]

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade**: \( 0 \) \[7335\]
   - b. **Market value of open contracts granted (sold) on a foreign board of trade**: \( 0 \) \[7337\]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: \( 23,478,767 \) \[7345\]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: \( 3,815 \) \[7351\]
   - Less: amount offset by customer owned securities: \( 0 \) \[7352\] \( 3,815 \) \[7354\]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: \( 23,482,582 \) \[7355\]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: \( 23,482,582 \) \[7360\]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: \( 6,996,268 \) \[7500\]
   - B. Other banks qualified under Regulation 30.7: \( 1,712,897 \) \[7520\] \( 8,709,165 \) \[7530\]

2. **Securities**
   - A. In safekeeping with banks located in the United States: \( 0 \) \[7540\]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \( 0 \) \[7560\] \( 0 \) \[7570\]

3. **Equities with registered futures commission merchants**
   - A. Cash: \( 6,371,304 \) \[7580\]
   - B. Securities: \( 0 \) \[7590\]
   - C. Unrealized gain (loss) on open futures contracts: \( 0 \) \[7600\]
   - D. Value of long option contracts: \( 0 \) \[7610\]
   - E. Value of short option contracts: \( 0 \) \[7615\] \( 6,371,304 \) \[7620\]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \( 6,948,692 \) \[7640\]
   - B. Securities: \( 0 \) \[7650\]
   - C. Amount due to (from) clearing organization - daily variation: \( 0 \) \[7660\]
   - D. Value of long option contracts: \( 0 \) \[7670\]
   - E. Value of short option contracts: \( 0 \) \[7675\] \( 6,948,692 \) \[7680\]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \( 4,943,088 \) \[7700\]
   - B. Securities: \( 0 \) \[7710\]
   - C. Unrealized gain (loss) on open futures contracts: \( 0 \) \[7720\]
   - D. Value of long option contracts: \( 0 \) \[7730\]
   - E. Value of short option contracts: \( 0 \) \[7735\] \( 4,943,088 \) \[7740\]

6. **Amounts with other depositories designated by a foreign board of trade**: \( 0 \) \[7760\]

7. **Segregated funds on hand**: \( 0 \) \[7765\]

8. **Total funds in separate section 30.7 accounts**: \( 26,972,249 \) \[7770\]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: \( 3,489,667 \) \[7780\]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: \( 1,000,000 \) \[7780\]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: \( 2,489,667 \) \[7785\]
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 908,577,262 [7010]
   - B. Securities (at market): 86,956,886 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 122,155,462 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 2,680,098,043 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -2,462,887,077 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,334,900,576 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 252,408 [7045]
   - Less: amount offset by customer securities: -96,174 [7047]
   - 156,234 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 1,335,056,810 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 381,405,132 [7070]
   - B. Securities representing investments of customers’ funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 425,120 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 187,022,611 [7100]
   - B. Securities representing investments of customers’ funds (at market): 474,974,668 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 81,585,345 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 15,516,206 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 2,680,098,043 [7132]
    - B. Value of open short option contracts: -2,462,887,077 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 4,946,421 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,363,086,469 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 28,029,659 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 9,029,659 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]