INITIAL
End Date: 1/5/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/6/2021
<table>
<thead>
<tr>
<th>Description</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Company</td>
<td>WEDBUSH SECURITIES INC</td>
</tr>
<tr>
<td>Contact Name</td>
<td>Kevin Wirth</td>
</tr>
<tr>
<td>Contact Phone Number</td>
<td>312-784-0475</td>
</tr>
<tr>
<td>Contact Email Address</td>
<td><a href="mailto:kevin.wirth@wedbush.com">kevin.wirth@wedbush.com</a></td>
</tr>
<tr>
<td>FCM's Customer Segregated Funds Residual Interest Target (choose one):</td>
<td></td>
</tr>
<tr>
<td>a. Minimum dollar amount:</td>
<td>19,000,000</td>
</tr>
<tr>
<td>b. Minimum percentage of customer segregated funds required:</td>
<td>0</td>
</tr>
<tr>
<td>c. Dollar amount range between:</td>
<td>0 0</td>
</tr>
<tr>
<td>d. Percentage range of customer segregated funds required between:</td>
<td>0 0</td>
</tr>
<tr>
<td>FCM's Customer Secured Amount Funds Residual Interest Target (choose one):</td>
<td></td>
</tr>
<tr>
<td>a. Minimum dollar amount:</td>
<td>1,000,000</td>
</tr>
<tr>
<td>b. Minimum percentage of customer secured funds required:</td>
<td>0</td>
</tr>
<tr>
<td>c. Dollar amount range between:</td>
<td>0 0</td>
</tr>
<tr>
<td>d. Percentage range of customer secured funds required between:</td>
<td>0 0</td>
</tr>
<tr>
<td>FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):</td>
<td></td>
</tr>
<tr>
<td>a. Minimum dollar amount:</td>
<td>0</td>
</tr>
<tr>
<td>b. Minimum percentage of cleared swaps customer collateral required:</td>
<td>0</td>
</tr>
<tr>
<td>c. Dollar amount range between:</td>
<td>0 0</td>
</tr>
<tr>
<td>d. Percentage range of cleared swaps customer collateral required between:</td>
<td>0 0</td>
</tr>
</tbody>
</table>

Attach supporting documents
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: 23,132,834 [7315]
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 1,754,632 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 24,887,466 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 23,430 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 24,910,896 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 24,910,896 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States: 5,787,258 [7500]
   B. Other banks qualified under Regulation 30.7: 2,849,731 [7520] 8,636,989 [7530]

2. Securities
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash: 6,443,309 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 6,443,309 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: 9,003,899 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 9,003,899 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash: 4,515,247 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 4,515,247 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 28,599,444 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,688,548 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash: 989,144,696 [7010]
   B. Securities (at market): 84,395,071 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 51,336,843 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market: 2,870,187,523 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market: -2,648,778,209 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,346,285,924 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 175,555 [7045]
   Less: amount offset by customer securities: -87,239 [7047] 88,316 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,346,374,240 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash: 418,642,042 [7070]
   B. Securities representing investments of customers' funds (at market): 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market): 418,090 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash: 287,059,648 [7100]
   B. Securities representing investments of customers' funds (at market): 399,969,338 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market): 78,977,453 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: -38,500,734 [7130]

10. Exchange traded options
    A. Value of open long option contracts: 2,870,187,523 [7132]
    B. Value of open short option contracts: -2,648,778,209 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity: 0 [7140]
    B. Securities representing investments of customers' funds (at market): 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 4,999,528 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,372,974,679 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 26,600,439 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess: 7,600,439 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]