INITIAL
End Date: 1/13/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/14/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash  
   B. Securities (at market)  
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   1,167,553 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)  
   26,392,910 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount  
   2,782 [7351]  
   Less: amount offset by customer owned securities  
   0 [7352]  
   2,782 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity  
   Method (add lines 4 and 5)  
   26,395,692 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   26,395,692 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   A. Banks located in the United States  
   8,054,929 [7500]  
   B. Other banks qualified under Regulation 30.7  
   1,571,713 [7520]  
   9,626,642 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States  
   0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7560]  
   0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash  
   6,963,333 [7580]  
   B. Securities  
   0 [7590]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7600]  
   D. Value of long option contracts  
   0 [7610]  
   E. Value of short option contracts  
   0 [7615]  
   6,963,333 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash  
   11,048,511 [7640]  
   B. Securities  
   0 [7650]  
   C. Amount due to (from) clearing organization - daily variation  
   0 [7660]  
   D. Value of long option contracts  
   0 [7670]  
   E. Value of short option contracts  
   0 [7675]  
   11,048,511 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash  
   2,837,023 [7700]  
   B. Securities  
   0 [7710]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7720]  
   D. Value of long option contracts  
   0 [7730]  
   E. Value of short option contracts  
   0 [7735]  
   2,837,023 [7740]

6. Amounts with other depositories designated by a foreign board of trade  
   0 [7760]

7. Segregated funds on hand  
   0 [7765]

8. Total funds in separate section 30.7 accounts  
   30,475,509 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   4,079,817 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    3,079,817 [7785]
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 1,055,638,804
   - B. Securities (at market): 89,122,138

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - 1,375,319,951

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 3,202,212,069
   - B. Deduct market value of open option contracts granted (sold) on a contract market: 2,912,070,993

4. Net equity (deficit) (add lines 1, 2 and 3)
   - 1,375,319,951

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - 185,442
   - Less: amount offset by customer securities: 88,014

6. Amount required to be segregated (add lines 4 and 5)
   - 1,375,417,379

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash: 428,114,444
   - B. Securities representing investments of customers’ funds (at market): 0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 459,675

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 141,869,560
   - B. Securities representing investments of customers’ funds (at market): 449,955,881
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 83,974,953

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - 632,984

10. Exchange traded options
    - A. Value of open long option contracts: 3,202,212,069
    - B. Value of open short option contracts: -2,912,070,993

11. Net equities with other FCMs
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers’ funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. Segregated funds on hand
    - 4,687,510

13. Total amount in segregation (add lines 7 through 12)
    - 1,399,836,083

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - 24,418,704

15. Management Target Amount for Excess funds in segregation
    - 19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess
    - 5,418,704
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]