INITIAL
End Date: 1/25/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/26/2021
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Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

WEDBUSH SECURITIES INC
Kevin Wirth
312-784-0475
kevin.wirth@wedbush.com

19,000,000
0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

1,000,000
0

0
0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

0
0

0
0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>23,827,359</td>
</tr>
<tr>
<td></td>
<td>B. Securities (at market)</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>1,815,651</td>
</tr>
<tr>
<td>3</td>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>4</td>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>25,643,010</td>
</tr>
<tr>
<td>5</td>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>6,509</td>
</tr>
<tr>
<td></td>
<td>Less: amount offset by customer owned securities</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Method (add lines 4 and 5)</td>
<td>25,649,519</td>
</tr>
<tr>
<td>6</td>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity</td>
<td></td>
</tr>
<tr>
<td></td>
<td>7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</td>
<td>25,649,519</td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States | 7,974,778 |
   - B. Other banks qualified under Regulation 30.7 | 2,833,669 |

2. **Securities**
   - A. In safekeeping with banks located in the United States | 0 |
   - B. In safekeeping with other banks qualified under Regulation 30.7 | 0 |

3. **Equities with registered futures commission merchants**
   - A. Cash | 7,532,098 |
   - B. Securities | 0 |
   - C. Unrealized gain (loss) on open futures contracts | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 0 |

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash | 10,278,912 |
   - B. Securities | 0 |
   - C. Amount due to (from) clearing organization - daily variation | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 0 |

5. **Amounts held by members of foreign boards of trade**
   - A. Cash | 864,550 |
   - B. Securities | 0 |
   - C. Unrealized gain (loss) on open futures contracts | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 0 |

6. **Amounts with other depositories designated by a foreign board of trade** | 0 |

7. **Segregated funds on hand** | 0 |

8. **Total funds in separate section 30.7 accounts** | 29,484,007 |

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | 3,834,488 |

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** | 1,000,000 |

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** | 2,834,488 |
**Segregation Requirements (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**: $992,225,034 [7010]
   - **B. Securities (at market)**: $89,275,505 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **-17,065,640** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $2,956,086,488 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $2,659,795,980 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **1,360,725,407** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **-121,767** [7047] \(\quad\) **102,907** [7050]
   - **224,674** [7045]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **1,360,828,314** [7060]

Funds in Segregated Accounts

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $392,336,247 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: $0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $429,801 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $163,477,554 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: $449,960,925 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $84,374,712 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **-2,456,995** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $2,956,086,488 [7132]
    - **B. Value of open short option contracts**: $-2,659,795,980 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

12. **Segregated funds on hand**
    - **4,470,992** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **1,388,883,744** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **28,055,430** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **9,055,430** [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS 
AND 
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]