INITIAL
End Date: 2/1/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/2/2021
FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount; or 19,000,000
  b. Minimum percentage of customer segregated funds required; or 0
  c. Dollar amount range between; or 0 0
  d. Percentage range of customer segregated funds required between; and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount; or 1,000,000
  b. Minimum percentage of customer secured funds required; or 0
  c. Dollar amount range between; or 0 0
  d. Percentage range of customer secured funds required between; and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount; or 0
  b. Minimum percentage of cleared swaps customer collateral required; or 0
  c. Dollar amount range between; or 0 0
  d. Percentage range of cleared swaps customer collateral required between; and%. 0 0

Attach supporting documents
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 23,189,240 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 580,564 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 23,769,804 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 12,316 [7351]
   Less: amount offset by customer owned securities 0 [7352] 12,316 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 23,782,120 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   23,782,120 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 7,273,992 [7500]
   B. Other banks qualified under Regulation 30.7 3,501,448 [7520] 10,775,440 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 6,458,964 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 6,458,964 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 10,074,109 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 10,074,109 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 812,838 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 812,838 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 28,121,351 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,339,231 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,339,231 [7785]
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### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 1,045,043,100 [7010]
   - B. Securities (at market): 88,234,539 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - (-30,139,855) [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 3,105,164,329 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -2,864,271,706 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - 1,344,030,407 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 226,900 [7045]
   - Less: amount offset by customer securities: -124,787 [7047]
   - 102,113 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - 1,344,132,520 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash: 412,046,971 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 421,355 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 243,536,404 [7100]
   - B. Securities representing investments of customers' funds (at market): 399,971,907 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 83,216,814 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - (-13,520,050) [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 3,105,164,329 [7132]
    - B. Value of open short option contracts: -2,864,271,706 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand
    - 4,596,370 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - 1,371,162,394 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - 27,029,874 [7190]

15. Management Target Amount for Excess funds in segregation
    - 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 8,029,874 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
Funds in CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
Funds in Cleared Swaps Customer Segregated Accounts
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management 0 [8770]