INITIAL
End Date: 2/9/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/10/2021

Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
 a. Minimum dollar amount: $; or $19,000,000
 b. Minimum percentage of customer segregated funds required: %; or 0%
 c. Dollar amount range between:and; or 0 0
 d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
 a. Minimum dollar amount: $; or $1,000,000
 b. Minimum percentage of customer secured funds required: %; or 0%
 c. Dollar amount range between:and; or 0 0
 d. Percentage range of customer secured funds required between:% and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
 a. Minimum dollar amount: $; or
 b. Minimum percentage of cleared swaps customer collateral required: %; or 0%
 c. Dollar amount range between:and; or 0 0
 d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
**INITIAL**
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>23,895,606</td>
</tr>
<tr>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>246,460</td>
</tr>
<tr>
<td>Exchange traded options</td>
<td>24,142,066</td>
</tr>
<tr>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>5,077</td>
</tr>
<tr>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>24,147,143</td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash in banks A. Banks located in the United States</td>
<td>7,766,511</td>
</tr>
<tr>
<td>Cash in banks B. Other banks qualified under Regulation 30.7</td>
<td>1,886,210</td>
</tr>
<tr>
<td>Securities A. In safekeeping with banks located in the United States</td>
<td>0</td>
</tr>
<tr>
<td>Securities B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0</td>
</tr>
<tr>
<td>Equities with registered futures commission merchants A. Cash</td>
<td>7,438,704</td>
</tr>
<tr>
<td>Equities with registered futures commission merchants B. Securities</td>
<td>0</td>
</tr>
<tr>
<td>Equities with registered futures commission merchants C. Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
</tr>
<tr>
<td>Equities with registered futures commission merchants D. Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td>Equities with registered futures commission merchants E. Value of short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>Amounts held by clearing organizations of foreign boards of trade A. Cash</td>
<td>10,281,398</td>
</tr>
<tr>
<td>Amounts held by members of foreign boards of trade A. Cash</td>
<td>793,678</td>
</tr>
<tr>
<td>Amounts with other depositories designated by a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>Segregated funds on hand</td>
<td>0</td>
</tr>
<tr>
<td>Total funds in separate section 30.7 accounts</td>
<td>28,166,501</td>
</tr>
<tr>
<td>Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</td>
<td>4,019,358</td>
</tr>
<tr>
<td>Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000</td>
</tr>
<tr>
<td>Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>3,019,358</td>
</tr>
</tbody>
</table>
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
     - $989,439,222 [7010]
   - **B. Securities (at market)**
     - $83,337,144 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **$109,319,477 [7030]**

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - $3,347,036,558 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - $-3,086,750,377 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **$1,442,382,024 [7040]**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **$205,308 [7045]**
   - Less: amount offset by customer securities
     - **$-129,273 [7047]**
   - **$76,035 [7050]**

6. **Amount required to be segregated (add lines 4 and 5)**
   - **$1,442,458,059 [7060]**

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - **$389,907,103 [7070]**
   - **B. Securities representing investments of customers’ funds (at market)**
     - **0 [7080]**
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - **$447,947 [7090]**

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - **$330,358,840 [7100]**
   - **B. Securities representing investments of customers’ funds (at market)**
     - **$399,984,660 [7110]**
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - **$78,219,314 [7120]**

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **$4,447,894 [7130]**

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - **$3,347,036,558 [7132]**
    - **B. Value of open short option contracts**
      - **$-3,086,750,377 [7133]**

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - **0 [7140]**
    - **B. Securities representing investments of customers’ funds (at market)**
      - **0 [7160]**
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - **0 [7170]**

12. **Segregated funds on hand**
    - **$4,669,883 [7150]**

13. **Total amount in segregation (add lines 7 through 12)**
    - **$1,458,321,822 [7180]**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **$25,863,763 [7190]**

15. **Management Target Amount for Excess funds in segregation**
    - **$19,000,000 [7194]**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **$6,863,763 [7198]**
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]