INITIAL
End Date: 2/23/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/24/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: 19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: 1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### INITIAL

**End Date:** 2/23/2021  
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#### Daily Segregation - Secured Amounts

<table>
<thead>
<tr>
<th><strong>Foreign Futures and Foreign Options Secured Amounts</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   A. Cash | 25,703,868 [7315] |
   B. Securities (at market) | 0 [7317] |

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** | 2,506,564 [7325] |

3. **Exchange traded options**
   a. Market value of open option contracts purchased on a foreign board of trade | 0 [7335] |
   b. Market value of open contracts granted (sold) on a foreign board of trade | 0 [7337] |

4. **Net equity (deficit) (add lines 1, 2, and 3.)** | 28,210,432 [7345] |

5. **Account liquidating to a deficit and account with a debit balances - gross amount** | 5,998 [7351] |
   Less: amount offset by customer owned securities | 0 [7352] 5,998 [7354] |

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   Method (add lines 4 and 5) | 28,216,430 [7355] |

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** | 28,216,430 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   A. Banks located in the United States | 6,786,459 [7500] |
   B. Other banks qualified under Regulation 30.7 | 2,804,208 [7520] 9,590,667 [7530] |

2. **Securities**
   A. In safekeeping with banks located in the United States | 0 [7540] |
   B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

3. **Equities with registered futures commission merchants**
   A. Cash | 8,821,546 [7580] |
   B. Securities | 0 [7590] |
   C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
   D. Value of long option contracts | 0 [7610] |
   E. Value of short option contracts | 0 [7615] 8,821,546 [7620] |

4. **Amounts held by clearing organizations of foreign boards of trade**
   A. Cash | 10,218,456 [7640] |
   B. Securities | 0 [7650] |
   C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
   D. Value of long option contracts | 0 [7670] |
   E. Value of short option contracts | 0 [7675] 10,218,456 [7680] |

5. **Amounts held by members of foreign boards of trade**
   A. Cash | 4,620,801 [7700] |
   B. Securities | 0 [7710] |
   C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
   D. Value of long option contracts | 0 [7730] |
   E. Value of short option contracts | 0 [7735] 4,620,801 [7740] |

6. **Amounts with other depositories designated by a foreign board of trade** | 0 [7760] |

7. **Segregated funds on hand** | 0 [7765] |

8. **Total funds in separate section 30.7 accounts** | 33,251,470 [7770] |

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | 5,035,040 [7780] |

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** | 1,000,000 [7780] |

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** | 4,035,040 [7785] |
### Daily Segregation - Segregation Statement

SEREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 966,792,494 [7010]
   - B. Securities (at market): 85,293,386 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 657,453,978 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 3,182,544,431 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -2,857,683,273 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 2,034,401,016 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 211,275 [7045]
   - Less: amount offset by customer securities: -137,549 [7047] 73,726 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 2,034,474,742 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 659,370,033 [7070]
   - B. Securities representing investments of customers’ funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 459,889 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 500,117,451 [7100]
   - B. Securities representing investments of customers’ funds (at market): 499,986,023 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 80,222,317 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -5,786,870 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 3,182,544,431 [7132]
    - B. Value of open short option contracts: -2,857,683,273 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 4,611,180 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 2,063,841,181 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 29,366,439 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 10,366,439 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS**

AND

**FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**