INITIAL
End Date: 2/25/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/26/2021
WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
b. Minimum percentage of customer segregated funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
b. Minimum percentage of customer secured funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder **0** [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   A. Cash **27,238,793** [7315]
   
   B. Securities (at market) **0** [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **1,114,565** [7325]

3. Exchange traded options

   a. Market value of open option contracts purchased on a foreign board of trade **0** [7335]
   
   b. Market value of open contracts granted (sold) on a foreign board of trade **0** [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) **28,353,358** [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount **13,405** [7351]

   Less: amount offset by customer owned securities **0** [7352] **13,405** [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) **28,366,763** [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **28,366,763** [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

   A. Banks located in the United States **12,622,028** [7500]
   
   B. Other banks qualified under Regulation 30.7 **2,776,699** [7520] **15,398,727** [7530]

2. Securities

   A. In safekeeping with banks located in the United States **0** [7540]
   
   B. In safekeeping with other banks qualified under Regulation 30.7 **0** [7560] **0** [7570]

3. Equities with registered futures commission merchants

   A. Cash **8,287,685** [7580]
   
   B. Securities **0** [7590]
   
   C. Unrealized gain (loss) on open futures contracts **0** [7600]
   
   D. Value of long option contracts **0** [7610]
   
   E. Value of short option contracts **0** [7615] **8,287,685** [7620]

4. Amounts held by clearing organizations of foreign boards of trade

   A. Cash **10,093,658** [7640]
   
   B. Securities **0** [7650]
   
   C. Amount due to (from) clearing organization - daily variation **0** [7660]
   
   D. Value of long option contracts **0** [7670]
   
   E. Value of short option contracts **0** [7675] **10,093,658** [7680]

5. Amounts held by members of foreign boards of trade

   A. Cash **32,842** [7700]
   
   B. Securities **0** [7710]
   
   C. Unrealized gain (loss) on open futures contracts **0** [7720]
   
   D. Value of long option contracts **0** [7730]
   
   E. Value of short option contracts **0** [7735] **32,842** [7740]

6. Amounts with other depositories designated by a foreign board of trade **0** [7760]

7. Segregated funds on hand **0** [7765]

8. Total funds in separate section 30.7 accounts **33,812,912** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **5,446,149** [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **4,446,149** [7785]
| 1. | Net ledger balance |
| A. | Cash | 1,089,110,775 [7010] |
| B. | Securities (at market) | 79,183,692 [7020] |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a contract market | 468,395,528 [7030] |
| 3. | Exchange traded options |
| A. | Add market value of open option contracts purchased on a contract market | 3,458,240,386 [7032] |
| B. | Deduct market value of open option contracts granted (sold) on a contract market | -3,137,323,531 [7033] |
| 4. | Net equity (deficit) (add lines 1, 2 and 3) | 1,957,606,850 [7040] |
| 5. | Accounts liquidating to a deficit and accounts with debit balances - gross amount | 276,876 [7045] |
| | Less: amount offset by customer securities | -204,768 [7047] 72,108 [7050] |
| 6. | Amount required to be segregated (add lines 4 and 5) | 1,957,678,958 [7060] |
| 7. | Deposited in segregated funds bank accounts |
| A. | Cash | 550,369,768 [7070] |
| B. | Securities representing investments of customers' funds (at market) | 0 [7080] |
| C. | Securities held for particular customers or option customers in lieu of cash (at market) | 484,829 [7090] |
| 8. | Margins on deposit with derivatives clearing organizations of contract markets |
| A. | Cash | 555,518,443 [7100] |
| B. | Securities representing investments of customers' funds (at market) | 499,981,675 [7110] |
| C. | Securities held for particular customers or option customers in lieu of cash (at market) | 74,014,488 [7120] |
| 10. | Exchange traded options |
| A. | Value of open long option contracts | 3,458,240,386 [7132] |
| B. | Value of open short option contracts | -3,137,323,531 [7133] |
| 11. | Net equities with other FCMs |
| A. | Net liquidating equity | 0 [7140] |
| B. | Securities representing investments of customers' funds (at market) | 0 [7160] |
| C. | Securities held for particular customers or option customers in lieu of cash (at market) | 0 [7170] |
| 12. | Segregated funds on hand | 4,684,375 [7150] |
| 13. | Total amount in segregation (add lines 7 through 12) | 1,990,351,236 [7180] |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13) | 32,672,278 [7190] |
| 15. | Management Target Amount for Excess funds in segregation | 19,000,000 [7194] |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount |
| Excess | 13,672,278 [7198] |
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]