INITIAL
End Date: 3/5/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/8/2021

Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 23,012,878 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,875,570 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 24,888,448 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 6,814 [7351]
   Less: amount offset by customer owned securities 0 [7352] 6,814 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 24,895,262 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 24,895,262 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 12,465,886 [7500]
   B. Other banks qualified under Regulation 30.7 2,101,641 [7520] 14,567,527 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,287,863 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,287,863 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 9,789,392 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 9,789,392 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 80,720 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 80,720 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 29,725,502 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,830,240 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,830,240 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,462,301,266 [7010]
   B. Securities (at market) 94,230,099 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 143,382,819 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,624,362,114 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -3,308,703,752 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,015,679,457 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 307,701 [7045]
   Less: amount offset by customer securities -196,790 [7047] 110,911 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,015,683,457 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 643,050,941 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 482,768 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 380,124,317 [7100]
   B. Securities representing investments of customers' funds (at market) 599,972,845 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 89,303,176 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 12,551,980 [7130]

10. Exchange traded options
    A. Value of open long option contracts 3,624,362,114 [7132]
    B. Value of open short option contracts -3,308,703,752 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,444,155 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,045,588,544 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 29,905,087 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 10,905,087 [7198]
<table>
<thead>
<tr>
<th>Clearing Swap Customer Requirements</th>
<th>Line Number</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
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<td>0</td>
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<tr>
<td>A. Cash</td>
<td></td>
<td>[8500]</td>
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<tr>
<td>B. Securities (at market)</td>
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<td>[8510]</td>
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<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
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<td>[8520]</td>
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<tr>
<td>3. Cleared swaps options</td>
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<td>[8530]</td>
</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
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<td>[8540]</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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<td>[8550]</td>
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<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
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<td>[8560]</td>
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<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
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<td>[8570]</td>
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<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
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<td>[8580]</td>
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<tr>
<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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<td>[8600]</td>
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<tr>
<td>A. Cash</td>
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<td>[8610]</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>[8620]</td>
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<td>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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<td>[8630]</td>
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<tr>
<td>A. Value of open cleared swaps long option contracts</td>
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<td>[8680]</td>
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<tr>
<td>B. Value of open cleared swaps short option contracts</td>
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<td>[8690]</td>
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<tr>
<td>11. Net equities with other FCMs</td>
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<td>[8700]</td>
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<tr>
<td>A. Net liquidating equity</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>12. Cleared swaps customer funds on hand</td>
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<td>[8770]</td>
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<tr>
<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>[8780]</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<td>[8790]</td>
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<tr>
<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<td>[8800]</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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