INITIAL
End Date: 3/8/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/9/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 23,187,545 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 2,457,849 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 25,645,394 [7352]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 9,778 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 25,655,172 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 25,655,172 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 13,054,256 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,245,986 [7520]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,190,329 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 9,892,290 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 143,987 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 30,526,848 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,871,676 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,871,676 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **A. Cash**: 1,693,220,783 [7010]
   - **B. Securities (at market)**: 94,111,666 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **-112,165,679** [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market**: 3,633,108,999 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -3,308,950,793 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **1,999,324,976** [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **341,151** [7045]
   - Less: amount offset by customer securities
     - **-248,484** [7047]
     - **92,667** [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - **1,999,417,643** [7060]

7. Deposited in segregated funds bank accounts
   - **A. Cash**: 630,109,428 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 501,998 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**: 384,540,170 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: 599,973,256 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 89,303,176 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **-2,598,256** [7130]

10. Exchange traded options
    - **A. Value of open long option contracts**: 3,633,108,999 [7132]
    - **B. Value of open short option contracts**: -3,308,950,793 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. Segregated funds on hand
    - **4,306,492** [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **2,030,294,470** [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **30,876,827** [7190]

15. Management Target Amount for Excess funds in segregation
    - **19,000,000** [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **Excess**: 11,876,827 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash: $0 [8500]
   B. Securities (at market): $0 [8510]

2. Net unrealized profit (loss) in open cleared swaps
   $0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased: $0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): $0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)
   $0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities: $0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   $0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash: $0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market): $0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash: $0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market): $0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 [8650]

9. Net settlement from (to) derivatives clearing organizations
   $0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts: $0 [8670]
    B. Value of open cleared swaps short option contracts: $0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity: $0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market): $0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash: $0
    B. Securities representing investments of cleared swaps customers' funds (at market): $0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
    $0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
    $0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts
    $0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management
    $0 [8770]