Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
INITIAL
End Date: 3/12/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/15/2021

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 26,433,637 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,970,264 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 29,403,901 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,845 [7351]
   Less: amount offset by customer owned securities 0 [7352] 3,845 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 29,407,746 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 29,407,746 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 16,257,947 [7500]
   B. Other banks qualified under Regulation 30.7 3,415,260 [7520] 19,673,207 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,142,717 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,142,717 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 9,896,769 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 9,896,769 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 45,887 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 45,887 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 34,758,580 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,350,834 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,350,834 [7785]
INITIAL
End Date: 3/12/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/15/2021

**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>1,669,741,012</td>
<td>[7010]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>102,134,699</td>
<td>[7020]</td>
</tr>
</tbody>
</table>

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>-30,828,219</td>
<td>[7030]</td>
</tr>
</tbody>
</table>

3. **Exchange traded options**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>3,574,413,083</td>
<td>[7032]</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-3,329,551,082</td>
<td>[7033]</td>
</tr>
</tbody>
</table>

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1,985,909,493</td>
<td>[7040]</td>
</tr>
</tbody>
</table>

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>384,477</td>
<td>[7045]</td>
</tr>
<tr>
<td>Less: amount offset by customer securities</td>
<td>-285,920</td>
<td>[7047]</td>
</tr>
<tr>
<td></td>
<td>98,557</td>
<td>[7050]</td>
</tr>
</tbody>
</table>

6. **Amount required to be segregated (add lines 4 and 5)**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1,986,008,050</td>
<td>[7060]</td>
</tr>
</tbody>
</table>

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>625,342,953</td>
<td>[7070]</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
<td>[7080]</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>501,998</td>
<td>[7090]</td>
</tr>
</tbody>
</table>

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>435,775,379</td>
<td>[7100]</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>599,977,925</td>
<td>[7110]</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>97,193,793</td>
<td>[7120]</td>
</tr>
</tbody>
</table>

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3,988,497</td>
<td>[7130]</td>
</tr>
</tbody>
</table>

10. **Exchange traded options**
    
    | Description                                           | Amount       | Line No. |
    |-------------------------------------------------------|--------------|----------|
    | A. Value of open long option contracts                 | 3,574,413,083| [7132]   |
    | B. Value of open short option contracts               | -3,329,551,082| [7133]   |

11. **Net equities with other FCMs**
    
    | Description                                           | Amount       | Line No. |
    |-------------------------------------------------------|--------------|----------|
    | A. Net liquidating equity                             | 0            | [7140]   |
    | B. Securities representing investments of customers’ funds (at market) | 0            | [7160]   |
    | C. Securities held for particular customers or option customers in lieu of cash (at market) | 0            | [7170]   |

12. **Segregated funds on hand**
    
    | Description                                           | Amount       | Line No. |
    |-------------------------------------------------------|--------------|----------|
    |                                                        | 4,438,908    | [7150]   |

13. **Total amount in segregation (add lines 7 through 12)**
    
    | Description                                           | Amount       | Line No. |
    |-------------------------------------------------------|--------------|----------|
    |                                                        | 2,012,081,454| [7180]   |

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    
    | Description                                           | Amount       | Line No. |
    |-------------------------------------------------------|--------------|----------|
    |                                                        | 26,073,404   | [7190]   |

15. **Management Target Amount for Excess funds in segregation**
    
    | Description                                           | Amount       | Line No. |
    |-------------------------------------------------------|--------------|----------|
    |                                                        | 19,000,000   | [7194]   |

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    
    | Description                                           | Amount       | Line No. |
    |-------------------------------------------------------|--------------|----------|
    | Excess                                                | 7,073,404    | [7198]   |
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
   Funds in Cleared Swaps Customer Segregated Accounts
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management 0 [8770]