INITIAL
End Date: 3/16/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/17/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash 22,838,324 [7315]
   - B. Securities (at market) 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 3,136,526 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 25,974,850 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 3,417 [7351]
   - Less: amount offset by customer owned securities 0 [7352] 3,417 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 25,978,267 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 25,978,267 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States 12,790,341 [7500]
   - B. Other banks qualified under Regulation 30.7 3,677,981 [7520] 16,468,322 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 5,121,046 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 5,121,046 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 9,665,994 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 9,665,994 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 174,827 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 174,827 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 31,430,189 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 5,451,922 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 4,451,922 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 1,598,260,292 [7010]
   - B. Securities (at market): 102,244,717 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - Value: -109,057,612 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 3,466,425,106 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,067,397,402 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - Value: 1,990,475,101 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Value: 252,383 [7045]
   - Less: amount offset by customer securities: -132,389
   - Final value: 119,994 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - Value: 1,990,595,095 [7060]

7. Deposited in segregated funds bank accounts
   - A. Cash: 625,478,701 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 486,326 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 344,111,525 [7100]
   - B. Securities representing investments of customers' funds (at market): 549,987,033 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 97,193,793 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - Value: -2,332,370 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 3,466,425,106 [7132]
    - B. Value of open short option contracts: -3,067,397,402 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand
    - Value: 4,564,598 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - Value: 2,018,817,310 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - Excess: 27,922,215 [7190]

15. Management Target Amount for Excess funds in segregation
    - Target: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 8,922,215 [7198]
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<thead>
<tr>
<th>Requirement</th>
<th>Amount 0</th>
<th>Footnotes</th>
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</thead>
<tbody>
<tr>
<td>Cleared Swaps Customer Requirements</td>
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<tr>
<td>A. Cash</td>
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<td>B. Securities (at market)</td>
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<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
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<td>3. Cleared swaps options</td>
<td>0 [8530]</td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
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<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
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<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
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<td>Less: amount offset by customer owned securities</td>
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<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
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<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
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<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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<tr>
<td>A. Cash</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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<td>9. Net settlement from (to) derivatives clearing organizations</td>
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<td>10. Cleared swaps options</td>
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<td>A. Value of open cleared swaps long option contracts</td>
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<td>B. Value of open cleared swaps short option contracts</td>
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<td>11. Net equities with other FCMs</td>
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<td>A. Net liquidating equity</td>
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<td>12. Cleared swaps customer funds on hand</td>
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<tr>
<td>A. Cash</td>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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