INITIAL
End Date: 3/24/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/25/2021
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required:% ; or

c. Dollar amount range between:and; or

d. Percentage range of customer segregated funds required between:% and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required:% ; or

c. Dollar amount range between:and; or

d. Percentage range of customer secured funds required between:% and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required:% ; or

c. Dollar amount range between:and; or

d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents
Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 20,932,286 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,338,195 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 23,270,481 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 7,333 [7351]
   Less: amount offset by customer owned securities 0 [7352] 7,333 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 23,277,814 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 23,277,814 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 9,113,843 [7500]
   B. Other banks qualified under Regulation 30.7 3,504,429 [7520] 12,618,272 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 4,995,704 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 4,995,704 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 10,923,824 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 10,923,824 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 122,964 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 122,964 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 28,660,764 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,382,950 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,382,950 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

#### 1. Net ledger balance

- **A. Cash**: $1,930,645,339 [7010]
- **B. Securities (at market)**: $101,772,520 [7020]

#### 2. Net unrealized profit (loss) in open futures contracts traded on a contract market

- **$-221,538,779 [7030]**

#### 3. Exchange traded options

- **A. Add market value of open option contracts purchased on a contract market**: $3,053,532,702 [7032]
- **B. Deduct market value of open option contracts granted (sold) on a contract market**: $-2,818,384,818 [7033]

#### 4. Net equity (deficit) (add lines 1, 2 and 3)

- **$2,046,026,964 [7040]**

#### 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount

- **$225,476 [7045]**

- **Less: amount offset by customer securities**: $-133,778 [7047] $91,698 [7050]

#### 6. Amount required to be segregated (add lines 4 and 5)

- **$2,046,118,662 [7060]**

**FUNDS IN SEGREGATED ACCOUNTS**

#### 7. Deposited in segregated funds bank accounts

- **A. Cash**: $619,864,083 [7070]
- **B. Securities representing investments of customers' funds (at market)**: 0 [7080]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $486,326 [7090]

#### 8. Margins on deposit with derivatives clearing organizations of contract markets

- **A. Cash**: $602,564,643 [7100]
- **B. Securities representing investments of customers' funds (at market)**: $499,991,375 [7110]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $96,694,051 [7120]

#### 9. Net settlement from (to) derivatives clearing organizations of contract markets

- **$18,814,921 [7130]**

#### 10. Exchange traded options

- **A. Value of open long option contracts**: $3,053,532,702 [7132]
- **B. Value of open short option contracts**: $-2,818,384,818 [7133]

#### 11. Net equities with other FCMs

- **A. Net liquidating equity**: 0 [7140]
- **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

#### 12. Segregated funds on hand

- **$4,592,143 [7150]**

#### 13. Total amount in segregation (add lines 7 through 12)

- **$2,078,155,426 [7180]**

#### 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)

- **$32,036,764 [7190]**

#### 15. Management Target Amount for Excess funds in segregation

- **$19,000,000 [7194]**

#### 16. Excess (deficiency) funds in segregation over (under) Management Target Amount

- **Excess**: $13,036,764 [7198]**
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]