INITIAL
End Date: 3/25/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/26/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: 19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: 1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: 0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

**Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder**

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**
     - $21,699,925 [7315]
   - **B. Securities (at market)**
     - $0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - $2,251,023 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**
     - $0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**
     - $0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - $23,950,948 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - $10,117 [7351]
   - **Less: amount offset by customer owned securities**
     - $0 [7352]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - $23,961,065 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - $23,961,065 [7360]

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#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**
     - $10,127,692 [7500]
   - **B. Other banks qualified under Regulation 30.7**
     - $3,338,290 [7520] **13,465,982** [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**
     - $0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**
     - $0 [7560] **0** [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**
     - $4,714,008 [7580]
   - **B. Securities**
     - $0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**
     - $0 [7600]
   - **D. Value of long option contracts**
     - $0 [7610]
   - **E. Value of short option contracts**
     - $0 [7615] **4,714,008** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**
     - $11,145,448 [7640]
   - **B. Securities**
     - $0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**
     - $0 [7660]
   - **D. Value of long option contracts**
     - $0 [7670]
   - **E. Value of short option contracts**
     - $0 [7675] **11,145,448** [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**
     - $101,694 [7700]
   - **B. Securities**
     - $0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**
     - $0 [7720]
   - **D. Value of long option contracts**
     - $0 [7730]
   - **E. Value of short option contracts**
     - $0 [7735] **101,694** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - $0 [7760]

7. **Segregated funds on hand**
   - $0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - $29,427,132 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - $5,466,067 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - $1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - $4,466,067 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash: $1,966,516,773 [7010]
   B. Securities (at market): $100,451,564 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: -$269,492,213 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market: $2,990,389,020 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market: -$2,748,365,164 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): $2,039,499,980 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: $223,866 [7045]
   Less: amount offset by customer securities: -$132,205
   Result: $91,661 [7050]

6. Amount required to be segregated (add lines 4 and 5): $2,039,591,641 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash: $629,998,119 [7070]
   B. Securities representing investments of customers' funds (at market): $0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market): $486,326 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash: $587,685,640 [7100]
   B. Securities representing investments of customers' funds (at market): $499,899,786 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market): $95,365,373 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: $12,553,046 [7130]

10. Exchange traded options
    A. Value of open long option contracts: $2,990,389,020 [7132]
    B. Value of open short option contracts: -$2,748,365,164 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity: $0 [7140]
    B. Securities representing investments of customers' funds (at market): $0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market): $0 [7170]

12. Segregated funds on hand: $4,599,865 [7150]

13. Total amount in segregation (add lines 7 through 12): $2,072,702,011 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): $33,110,370 [7190]

15. Management Target Amount for Excess funds in segregation: $19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess: $14,110,370 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management 0 [8770]