INITIAL
End Date: 3/29/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/30/2021
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Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 \[7305\]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 24,075,637 \[7315\]
   - **B. Securities (at market)**: 0 \[7317\]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 432,128 \[7325\]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0 \[7335\]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 \[7337\]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 24,507,765 \[7345\]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,447 \[7351\]
   - Less: amount offset by customer owned securities: 0 \[7352\] 6,447 \[7354\]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 24,514,212 \[7355\]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 24,514,212 \[7360\]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 10,470,459 \[7500\]
   - **B. Other banks qualified under Regulation 30.7**: 3,241,236 \[7520\] 13,711,695 \[7530\]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 \[7540\]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 \[7560\] 0 \[7570\]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 4,765,081 \[7580\]
   - **B. Securities**: 0 \[7590\]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 \[7600\]
   - **D. Value of long option contracts**: 0 \[7610\]
   - **E. Value of short option contracts**: 0 \[7615\] 4,765,081 \[7620\]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 11,577,373 \[7640\]
   - **B. Securities**: 0 \[7650\]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 \[7660\]
   - **D. Value of long option contracts**: 0 \[7670\]
   - **E. Value of short option contracts**: 0 \[7675\] 11,577,373 \[7680\]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 84,799 \[7700\]
   - **B. Securities**: 0 \[7710\]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 \[7720\]
   - **D. Value of long option contracts**: 0 \[7730\]
   - **E. Value of short option contracts**: 0 \[7735\] 84,799 \[7740\]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 \[7760\]

7. **Segregated funds on hand**: 0 \[7765\]

8. **Total funds in separate section 30.7 accounts**: 30,138,948 \[7770\]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,624,736 \[7380\]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 \[7780\]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,624,736 \[7785\]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: $1,592,463,188 [7010]
   - B. Securities (at market): $100,313,789 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - $-251,909,267 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $3,615,505,104 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: $-3,049,170,947 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - $2,007,201,867 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - $598,331 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**
   - $2,007,454,558 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. Cash: $654,409,462 [7070]
   - B. Securities representing investments of customers' funds (at market): $0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $486,326 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $216,525,739 [7100]
   - B. Securities representing investments of customers' funds (at market): $499,989,197 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $95,365,373 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - $-467,974 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: $3,615,505,104 [7132]
    - B. Value of open short option contracts: $-3,049,170,947 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 [7140]
    - B. Securities representing investments of customers' funds (at market): $0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 [7170]

12. **Segregated funds on hand**
    - $4,462,090 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - $2,037,104,370 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - $29,649,812 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $10,649,812 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]