INITIAL
End Date: 4/12/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/13/2021
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or  
   19,000,000
b. Minimum percentage of customer segregated funds required:% ; or  
   0
   0 0
c. Dollar amount range between:and; or  
   0 0
d. Percentage range of customer segregated funds required between:% and%.  
   0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or  
   1,000,000
b. Minimum percentage of customer secured funds required:% ; or  
   0
   0 0
c. Dollar amount range between:and; or  
   0 0
d. Percentage range of customer secured funds required between:% and%.  
   0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or  
   0
b. Minimum percentage of cleared swaps customer collateral required:% ; or  
   0
   0 0
c. Dollar amount range between:and; or  
   0 0
d. Percentage range of cleared swaps customer collateral required between:% and%.  
   0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 26,262,660 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,199,849 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 27,462,509 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 13,460 [7351]
   Less: amount offset by customer owned securities 0 [7352] 13,460 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 27,475,969 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 27,475,969 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 9,389,457 [7500]
   B. Other banks qualified under Regulation 30.7 3,548,601 [7520] 12,938,058 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 7,547,170 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 7,547,170 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 11,275,350 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 11,275,350 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 645,807 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 645,807 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 32,406,385 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,930,416 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,930,416 [7785]
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,537,794,041 [7010]
   - B. Securities (at market): 101,553,060 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -253,185,658 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 3,789,809,111 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,161,851,868 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 2,014,118,686 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 360,927 [7045]
   - Less: amount offset by customer securities
     - -255,533 [7047]
     - 105,394 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 2,014,224,080 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 645,669,638 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 486,326 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 182,463,857 [7100]
   - B. Securities representing investments of customers' funds (at market): 499,993,174 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 96,565,373 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -14,068,608 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 3,789,809,111 [7132]
    - B. Value of open short option contracts: -3,161,851,868 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 4,501,361 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 2,043,568,364 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 29,344,284 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 10,344,284 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps
   - 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)
   - 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   - 0 [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations
   - 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
    - 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
    - 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts
    - 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management
    - 0 [8770]