Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

   **A. Cash**
   
   28,149,885 [7315]

   **B. Securities (at market)**
   
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:

   908,801 [7325]

3. Exchange traded options

   - **a. Market value of open option contracts purchased on a foreign board of trade**
     
     0 [7335]

   - **b. Market value of open contracts granted (sold) on a foreign board of trade**
     
     0 [7337]

4. Net equity (deficit) (add lines 1., 2. and 3.)

   29,058,686 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount

   6,673 [7351]

   Less: amount offset by customer owned securities

   0 [7352] 6,673 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)

   29,065,359 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

   29,065,359 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

   - **A. Banks located in the United States**
     
     11,553,335 [7500]

   - **B. Other banks qualified under Regulation 30.7**
     
     3,355,896 [7520] 14,909,231 [7530]

2. Securities

   - **A. In safekeeping with banks located in the United States**
     
     0 [7540]

   - **B. In safekeeping with other banks qualified under Regulation 30.7**
     
     0 [7560] 0 [7570]

3. Equities with registered futures commission merchants

   - **A. Cash**
     
     7,267,255 [7580]

   - **B. Securities**
     
     0 [7590]

   - **C. Unrealized gain (loss) on open futures contracts**
     
     0 [7600]

   - **D. Value of long option contracts**
     
     0 [7610]

   - **E. Value of short option contracts**
     
     0 [7615] 7,267,255 [7620]

4. Amounts held by clearing organizations of foreign boards of trade

   - **A. Cash**
     
     11,365,107 [7640]

   - **B. Securities**
     
     0 [7650]

   - **C. Amount due to (from) clearing organization - daily variation**
     
     0 [7660]

   - **D. Value of long option contracts**
     
     0 [7670]

   - **E. Value of short option contracts**
     
     0 [7675] 11,365,107 [7680]

5. Amounts held by members of foreign boards of trade

   - **A. Cash**
     
     628,366 [7700]

   - **B. Securities**
     
     0 [7710]

   - **C. Unrealized gain (loss) on open futures contracts**
     
     0 [7720]

   - **D. Value of long option contracts**
     
     0 [7730]

   - **E. Value of short option contracts**
     
     0 [7735] 628,366 [7740]

6. Amounts with other depositories designated by a foreign board of trade

   0 [7760]

7. Segregated funds on hand

   0 [7765]

8. Total funds in separate section 30.7 accounts

   34,169,959 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

   5,104,600 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts

    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

    4,104,600 [7785]
<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td>1.</td>
<td>Net ledger balance</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>1,434,703,069 [7010]</td>
</tr>
<tr>
<td></td>
<td>B. Securities (at market)</td>
<td>106,448,045 [7020]</td>
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<tr>
<td>2.</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>-145,613,253 [7030]</td>
</tr>
<tr>
<td>3.</td>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>3,915,760,224 [7032]</td>
</tr>
<tr>
<td></td>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-3,310,378,875 [7033]</td>
</tr>
<tr>
<td>4.</td>
<td>Net equity (deficit) (add lines 1, 2 and 3)</td>
<td>2,000,919,210 [7040]</td>
</tr>
<tr>
<td>5.</td>
<td>Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>318,735 [7045]</td>
</tr>
<tr>
<td></td>
<td>Less: amount offset by customer securities</td>
<td>-229,654 [7047] 89,081 [7050]</td>
</tr>
<tr>
<td>6.</td>
<td>Amount required to be segregated (add lines 4 and 5)</td>
<td>2,001,008,291 [7060]</td>
</tr>
<tr>
<td>7.</td>
<td>Deposited in segregated funds bank accounts</td>
<td></td>
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<tr>
<td></td>
<td>A. Cash</td>
<td>645,750,609 [7070]</td>
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<tr>
<td></td>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>0 [7080]</td>
</tr>
<tr>
<td></td>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>477,933 [7090]</td>
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<tr>
<td>8.</td>
<td>Margins on deposit with derivatives clearing organizations of contract markets</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>188,123,786 [7100]</td>
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<tr>
<td></td>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>499,994,084 [7110]</td>
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<td></td>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>101,465,373 [7120]</td>
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<tr>
<td>9.</td>
<td>Net settlement from (to) derivatives clearing organizations of contract markets</td>
<td>-19,397,909 [7130]</td>
</tr>
<tr>
<td>10.</td>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Value of open long option contracts</td>
<td>3,915,760,224 [7132]</td>
</tr>
<tr>
<td></td>
<td>B. Value of open short option contracts</td>
<td>-3,310,378,875 [7133]</td>
</tr>
<tr>
<td>11.</td>
<td>Net equities with other FCMs</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Net liquidating equity</td>
<td>0 [7140]</td>
</tr>
<tr>
<td></td>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>0 [7160]</td>
</tr>
<tr>
<td></td>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0 [7170]</td>
</tr>
<tr>
<td>12.</td>
<td>Segregated funds on hand</td>
<td>4,504,739 [7150]</td>
</tr>
<tr>
<td>13.</td>
<td>Total amount in segregation (add lines 7 through 12)</td>
<td>2,026,299,964 [7180]</td>
</tr>
<tr>
<td>14.</td>
<td>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>25,291,673 [7190]</td>
</tr>
<tr>
<td>15.</td>
<td>Management Target Amount for Excess funds in segregation</td>
<td>19,000,000 [7194]</td>
</tr>
<tr>
<td>16.</td>
<td>Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>6,291,673 [7198]</td>
</tr>
</tbody>
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**INITIAL**

**End Date:** 4/14/2021  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 4/15/2021

**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash
   - B. Securities (at market)
2. Net unrealized profit (loss) in open futures contracts traded on a contract market
3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market
   - B. Deduct market value of open option contracts granted (sold) on a contract market
4. Net equity (deficit) (add lines 1, 2 and 3)
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
6. Amount required to be segregated (add lines 4 and 5)
7. Deposited in segregated funds bank accounts
   - A. Cash
   - B. Securities representing investments of customers’ funds (at market)
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash
   - B. Securities representing investments of customers’ funds (at market)
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
9. Net settlement from (to) derivatives clearing organizations of contract markets
10. Exchange traded options
    - A. Value of open long option contracts
    - B. Value of open short option contracts
11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of customers’ funds (at market)
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
12. Segregated funds on hand
13. Total amount in segregation (add lines 7 through 12)
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
15. Management Target Amount for Excess funds in segregation
16. Excess (deficiency) funds in segregation over (under) Management Target Amount

**Excess**
**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   
   A. Cash
   
   B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   
   A. Market value of open cleared swaps option contracts purchased
   
   B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   
   Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   
   A. Cash
   
   B. Securities representing investments of cleared swaps customers' funds (at market)
   
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   
   A. Cash
   
   B. Securities representing investments of cleared swaps customers' funds (at market)
   
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    
    A. Value of open cleared swaps long option contracts
    
    B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    
    A. Net liquidating equity
    
    B. Securities representing investments of cleared swaps customers' funds (at market)
    
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    
    A. Cash
    
    B. Securities representing investments of cleared swaps customers' funds (at market)
    
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)**

Management