INITIAL
End Date: 4/19/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/20/2021
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
**INITIAL**  
**End Date:** 4/19/2021  
**Firm Name:** WEDBUSH SECURITIES INC  
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  

<table>
<thead>
<tr>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
A. Cash  
\[28,734,805 \text{ [7315]} \]  
B. Securities (at market)  
\[0 [7317] \]  

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
\[1,613,066 [7325] \]  

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade  
   \[0 [7335] \]  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   \[0 [7337] \]  

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
\[30,347,871 [7345] \]  

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
\[15,132 [7351] \]  
Less: amount offset by customer owned securities  
\[0 [7352] 15,132 [7354] \]  

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
\[30,363,003 [7355] \]  

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
\[30,363,003 [7360] \]  

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**  
   A. Banks located in the United States  
   \[11,126,594 [7500] \]  
   B. Other banks qualified under Regulation 30.7  
   \[3,602,964 [7520] \]  

2. **Securities**  
   A. In safekeeping with banks located in the United States  
   \[0 [7540] \]  
   B. In safekeeping with other banks qualified under Regulation 30.7  
   \[0 [7560] 0 [7570] \]  

3. **Equities with registered futures commission merchants**  
   A. Cash  
   \[8,542,017 [7580] \]  
   B. Securities  
   \[0 [7590] \]  
   C. Unrealized gain (loss) on open futures contracts  
   \[0 [7600] \]  
   D. Value of long option contracts  
   \[0 [7610] \]  
   E. Value of short option contracts  
   \[0 [7615] 8,542,017 [7620] \]  

4. **Amounts held by clearing organizations of foreign boards of trade**  
   A. Cash  
   \[11,605,518 [7640] \]  
   B. Securities  
   \[0 [7650] \]  
   C. Amount due to (from) clearing organization - daily variation  
   \[0 [7660] \]  
   D. Value of long option contracts  
   \[0 [7670] \]  
   E. Value of short option contracts  
   \[0 [7675] 11,605,518 [7680] \]  

5. **Amounts held by members of foreign boards of trade**  
   A. Cash  
   \[856,084 [7700] \]  
   B. Securities  
   \[0 [7710] \]  
   C. Unrealized gain (loss) on open futures contracts  
   \[0 [7720] \]  
   D. Value of long option contracts  
   \[0 [7730] \]  
   E. Value of short option contracts  
   \[0 [7735] 856,084 [7740] \]  

6. **Amounts with other depositories designated by a foreign board of trade**  
\[0 [7760] \]  

7. **Segregated funds on hand**  
\[0 [7765] \]  

8. **Total funds in separate section 30.7 accounts**  
\[35,733,177 [7770] \]  

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
\[5,370,174 [7780] \]  

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
\[1,000,000 [7780] \]  

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
\[4,370,174 [7785] \]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: $1,516,680,178
   - B. Securities (at market): $107,455,682

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - $-86,834,523

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: $3,417,223,692
   - B. Deduct market value of open option contracts granted (sold) on a contract market: $-3,015,368,477

4. Net equity (deficit) (add lines 1, 2 and 3)
   - $1,939,156,552

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer securities: $-126,180

6. Amount required to be segregated (add lines 4 and 5)
   - $1,939,253,141

7. Deposited in segregated funds bank accounts
   - A. Cash: $627,403,974
   - B. Securities representing investments of customers' funds (at market): $0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $481,187

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: $320,907,813
   - B. Securities representing investments of customers' funds (at market): $499,994,088
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $102,465,373

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - $9,591,165

10. Exchange traded options
    - A. Value of open long option contracts: $3,417,223,692
    - B. Value of open short option contracts: $-3,015,368,477

11. Net equities with other FCMs
    - A. Net liquidating equity: $0
    - B. Securities representing investments of customers' funds (at market): $0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0

12. Segregated funds on hand
    - $4,509,122

13. Total amount in segregation (add lines 7 through 12)
    - $1,967,207,937

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - $27,954,796

15. Management Target Amount for Excess funds in segregation
    - $19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: $8,954,796
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]