INITIAL
End Date: 4/23/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/26/2021
Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     19,000,000
  b. Minimum percentage of customer segregated funds required:% ; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of customer segregated funds required between:% and%.
     0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     1,000,000
  b. Minimum percentage of customer secured funds required:% ; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of customer secured funds required between:% and%.
     0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%.
     0 0

Attach supporting documents
# Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>27,745,485</td>
<td>[7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
<td>[7317]</td>
</tr>
<tr>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>2,220,000</td>
<td>[7325]</td>
</tr>
<tr>
<td>Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0</td>
<td>[7335]</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0</td>
<td>[7337]</td>
</tr>
<tr>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>29,965,485</td>
<td>[7345]</td>
</tr>
<tr>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>8,697</td>
<td>[7351]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0</td>
<td>[7352]</td>
</tr>
<tr>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity</td>
<td>29,974,182</td>
<td>[7355]</td>
</tr>
</tbody>
</table>

Funds Deposited in Separate Regulation 30.7 Accounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Cash in banks</td>
<td>10,706,180</td>
<td>[7500]</td>
</tr>
<tr>
<td>A. Banks located in the United States</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>4,228,574</td>
<td>[7520]</td>
</tr>
<tr>
<td>2. Securities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. In safekeeping with banks located in the United States</td>
<td>0</td>
<td>[7540]</td>
</tr>
<tr>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0</td>
<td>[7560]</td>
</tr>
<tr>
<td>3. Equities with registered futures commission merchants</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>7,849,396</td>
<td>[7580]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
<td>[7590]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
<td>[7600]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0</td>
<td>[7610]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0</td>
<td>[7615]</td>
</tr>
<tr>
<td>4. Amounts held by clearing organizations of foreign boards of trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>11,425,757</td>
<td>[7640]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
<td>[7650]</td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0</td>
<td>[7660]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0</td>
<td>[7670]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0</td>
<td>[7675]</td>
</tr>
<tr>
<td>5. Amounts held by members of foreign boards of trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>908,960</td>
<td>[7700]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
<td>[7710]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
<td>[7720]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0</td>
<td>[7730]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0</td>
<td>[7735]</td>
</tr>
<tr>
<td>6. Amounts with other depositories designated by a foreign board of trade</td>
<td>0</td>
<td>[7760]</td>
</tr>
<tr>
<td>7. Segregated funds on hand</td>
<td>0</td>
<td>[7765]</td>
</tr>
<tr>
<td>8. Total funds in separate section 30.7 accounts</td>
<td>35,118,867</td>
<td>[7770]</td>
</tr>
<tr>
<td>9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement)</td>
<td>5,144,685</td>
<td>[7380]</td>
</tr>
<tr>
<td>10. Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000</td>
<td>[7780]</td>
</tr>
<tr>
<td>11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>4,144,685</td>
<td>[7785]</td>
</tr>
</tbody>
</table>
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash** 1,405,781,735 [7010]
   - **B. Securities (at market)** 96,073,794 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** -29,604,992 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 3,807,688,935 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -3,320,477,977 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,959,461,495 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 260,750 [7045]
   - **Less: amount offset by customer securities** -124,849
   - **135,901** [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,959,597,396 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Deposited in segregated funds bank accounts**
     - **Cash** 658,013,789 [7070]
     - **Securities representing investments of customers' funds (at market)** 0 [7080]
     - **Securities held for particular customers or option customers in lieu of cash (at market)** 476,343 [7090]
   - **B. Margins on deposit with derivatives clearing organizations of contract markets**
     - **Cash** 248,314,204 [7100]
     - **Securities representing investments of customers' funds (at market)** 499,996,419 [7110]
     - **Securities held for particular customers or option customers in lieu of cash (at market)** 90,970,442 [7120]
   - **C. Net settlement from (to) derivatives clearing organizations of contract markets** 532,402 [7130]
   - **D. Exchange traded options**
     - **A. Value of open long option contracts** 3,807,688,935 [7132]
     - **B. Value of open short option contracts** -3,320,477,977 [7133]
   - **E. Net equities with other FCMs**
     - **A. Net liquidating equity** 0 [7140]
     - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
     - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

8. **Segregated funds on hand** 4,627,009 [7150]

9. **Total amount in segregation (add lines 7 through 12)** 1,990,141,566 [7180]

10. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 30,544,170 [7190]

11. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

12. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 11,544,170 [7198]
Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]