INITIAL
End Date: 4/26/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/27/2021
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of customer segregated funds required between: % and %.
     0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     1,000,000
  b. Minimum percentage of customer secured funds required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of customer secured funds required between: % and %.
     0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %.
     0 0

Attach supporting documents
**INITIAL**

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder | 0 [7305] |

1. **Net ledger balance** - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash | 28,117,704 [7315]  
   B. Securities (at market) | 0 [7317]  

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** | 2,406,117 [7325]  

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade | 0 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade | 0 [7337]  

4. **Net equity (deficit) (add lines 1. 2. and 3.)** | 30,523,821 [7345]  

5. **Account liquidating to a deficit and account with a debit balances - gross amount** | 10,120 [7351]  
   Less: amount offset by customer owned securities | 0 [7352]  
   **Net Liquidating Equity Method (add lines 4 and 5)** | 30,533,941 [7355]  

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity** | 30,533,941 [7360]  

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** | 30,533,941 [7360]  

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**  
   A. Banks located in the United States | 10,693,435 [7500]  
   B. Other banks qualified under Regulation 30.7 | 4,462,699 [7520]  
   **Total** | 15,156,134 [7530]  

2. **Securities**  
   A. In safekeeping with banks located in the United States | 0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560]  
   **Total** | 0 [7570]  

3. **Equities with registered futures commission merchants**  
   A. Cash | 8,060,807 [7580]  
   B. Securities | 0 [7590]  
   C. Unrealized gain (loss) on open futures contracts | 0 [7600]  
   D. Value of long option contracts | 0 [7610]  
   E. Value of short option contracts | 0 [7615]  
   **Total** | 8,060,807 [7620]  

4. **Amounts held by clearing organizations of foreign boards of trade**  
   A. Cash | 11,095,005 [7640]  
   B. Securities | 0 [7650]  
   C. Amount due to (from) clearing organization - daily variation | 0 [7660]  
   D. Value of long option contracts | 0 [7670]  
   E. Value of short option contracts | 0 [7675]  
   **Total** | 11,095,005 [7680]  

5. **Amounts held by members of foreign boards of trade**  
   A. Cash | 913,787 [7700]  
   B. Securities | 0 [7710]  
   C. Unrealized gain (loss) on open futures contracts | 0 [7720]  
   D. Value of long option contracts | 0 [7730]  
   E. Value of short option contracts | 0 [7735]  
   **Total** | 913,787 [7740]  

6. **Amounts with other depositories designated by a foreign board of trade** | 0 [7760]  

7. **Segregated funds on hand** | 0 [7765]  

8. **Total funds in separate section 30.7 accounts** | 35,225,733 [7770]  

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | 4,691,792 [7780]  

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** | 1,000,000 [7780]  

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** | 3,691,792 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,393,902,328 [7010]
   B. Securities (at market) 96,064,621 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -42,486,762 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,916,961,274 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -3,453,824,019 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,910,617,442 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 586,496 [7045]
   Less: amount offset by customer securities -452,176 [7047] 134,320 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,910,751,762 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 611,895,089 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 476,343 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 265,962,221 [7100]
   B. Securities representing investments of customers' funds (at market) 499,998,021 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 90,970,442 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 2,056,109 [7130]

10. Exchange traded options
    A. Value of open long option contracts 3,916,961,274 [7132]
    B. Value of open short option contracts -3,453,824,019 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,617,836 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,939,113,316 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 28,361,554 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 9,361,554 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount 0 [8560]
Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]