INITIAL
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Form: Daily Seg - FOCUS II
Submit Date: 5/13/2021
WEDBUSH SECURITIES INC

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Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 27,224,356 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 3,161,311 [7325]

3. **Exchange traded options**
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 30,385,667 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 7,404 [7351]

   Less: amount offset by customer owned securities: 0 [7352] 7,404 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**:
   - 30,393,071 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**:
   - 30,393,071 [7360]

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**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 10,664,739 [7500]
   - B. Other banks qualified under Regulation 30.7: 3,914,641

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 8,060,562 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 8,060,562 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 11,615,682 [7640]
   - B. Securities:
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 11,615,682 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 1,360,425 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 1,360,425 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 35,616,049 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,222,978 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,222,978 [7785]
**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash** 1,553,162,374 [7010]
   - **B. Securities (at market)** 98,806,377 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** -201,533,770 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 4,307,448,936 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -3,800,483,768 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,957,400,149 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 248,185 [7045]
   - Less: amount offset by customer securities -122,330 [7047] 125,855 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,957,526,004 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 650,823,769 [7070]
   - **B. Securities representing investments of customers' funds (at market)** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 495,326 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 238,692,430 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 499,995,547 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 93,470,442 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** -8,591,364 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 4,307,448,936 [7132]
    - **B. Value of open short option contracts** -3,800,483,768 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 4,840,609 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,986,691,927 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 29,165,923 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 10,165,923 [7198]
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0
   - B. Securities (at market): 0

2. **Net unrealized profit (loss) in open cleared swaps**: 0

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0
   - B. Market value of open cleared swaps option contracts granted (sold): 0

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0
   - Less: amount offset by customer owned securities: 0

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0

### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

9. **Net settlement from (to) derivatives clearing organizations**: 0

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0
    - B. Value of open cleared swaps short option contracts: 0

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0