INITIAL
End Date: 5/19/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/20/2021
FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 28,836,738 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,314,651 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 30,151,389 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 14,741 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 14,741 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 30,166,130 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 30,166,130 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 10,335,389 [7500]
   - B. Other banks qualified under Regulation 30.7: 5,143,985 [7520] 15,479,374 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 7,503,130 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 7,503,130 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 11,403,567 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 11,403,567 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 1,140,557 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 1,140,557 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 35,526,628 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,360,498 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,360,498 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: **1,432,018,945** [7010]
   - B. Securities (at market): **95,114,682** [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **-152,802,485** [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: **3,805,524,611** [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: **-3,305,039,946** [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **1,875,615,807** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **227,024** [7045]
   - Less: amount offset by customer securities: **-119,347** [7047]
   - **107,677** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **1,875,723,484** [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: **605,059,223** [7070]
   - B. Securities representing investments of customers’ funds (at market): **0** [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **508,149** [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: **200,704,601** [7100]
   - B. Securities representing investments of customers’ funds (at market): **524,997,132** [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **89,670,445** [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **-21,752,454** [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: **3,805,524,611** [7132]
    - B. Value of open short option contracts: **-3,305,039,946** [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: **0** [7140]
    - B. Securities representing investments of customers’ funds (at market): **0** [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): **0** [7170]

12. **Segregated funds on hand**
    - **4,936,088** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **1,904,607,849** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **28,884,365** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **9,884,365** [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1. Net ledger balance</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
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<tr>
<td><strong>2. Net unrealized profit (loss) in open cleared swaps</strong></td>
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<tr>
<td></td>
<td>0</td>
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<td><strong>3. Cleared swaps options</strong></td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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<tr>
<td><strong>4. Net Equity (deficit) (add lines 1, 2, and 3)</strong></td>
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<td><strong>5. Accounts liquidating to a deficit and accounts with</strong></td>
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<tr>
<td>debit balances - gross amount</td>
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<tr>
<td><strong>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</strong></td>
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<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
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<tr>
<td>A. Cash</td>
<td>0</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<tr>
<td><strong>7. Deposited in cleared swaps customer segregated accounts at banks</strong></td>
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<tr>
<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td><strong>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</strong></td>
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<td><strong>10. Cleared swaps options</strong></td>
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<td>A. Value of open cleared swaps long option contracts</td>
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<td><strong>12. Cleared swaps customer funds on hand</strong></td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td><strong>13. Total amount in cleared swaps customer segregation</strong></td>
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<tr>
<td><strong>14. Excess (deficiency) funds in cleared swaps customer segregation</strong></td>
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<tr>
<td><strong>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</strong></td>
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<tr>
<td><strong>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</strong></td>
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