INITIAL
End Date: 5/21/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/24/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
      19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or
      0
   c. Dollar amount range between:and; or
      0 0
   d. Percentage range of customer segregated funds required between:% and%.
      0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
      1,000,000
   b. Minimum percentage of customer secured funds required:% ; or
      0
   c. Dollar amount range between:and; or
      0 0
   d. Percentage range of customer secured funds required between:% and%.
      0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
      0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or
      0
   c. Dollar amount range between:and; or
      0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%.
      0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to rule of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 28,778,714 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,448,375 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 30,227,089 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 11,077 [7351]
   Less: amount offset by customer owned securities 0 [7352] 11,077 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 30,238,166 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 30,238,166 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 10,290,236 [7500]
   B. Other banks qualified under Regulation 30.7 5,041,370 [7520] 15,331,606 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 7,546,406 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 7,546,406 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 11,672,347 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 11,672,347 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,067,660 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 1,067,660 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 35,618,019 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,379,853 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,379,853 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash** 1,361,558,308 [7010]
   - **B. Securities (at market)** 107,576,530 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** -118,522,983 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 3,738,841,392 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -3,213,572,437 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,875,880,810 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 624,639 [7045]
   - **Less: amount offset by customer securities** -118,979 [7047] 505,660 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,876,386,470 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 614,978,316 [7070]
   - **B. Securities representing investments of customers' funds (at market)** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 496,541 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 190,399,954 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 474,994,122 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 102,169,133 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** -8,627,953 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 3,738,841,392 [7132]
    - **B. Value of open short option contracts** -3,213,572,437 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 4,910,856 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,904,589,924 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 28,203,454 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 9,203,454 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   A. Cash: 0 [8500]
   B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   A. Deposited in cleared swaps customer segregated accounts at banks: 0 [8570]
   B. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts: 0 [8580]

7. **Funds in Cleared Swaps Customer Segregated Accounts**
   A. Cash: 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash: 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts: 0 [8670]
    B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    A. Net liquidating equity: 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    A. Cash: 0
    B. Securities representing investments of cleared swaps customers' funds (at market): 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]