INITIAL
End Date:5/24/2021
Firm Name:WEDBUSH SECURITIES INC
Form:Daily Seg - FOCUS II
Submit Date:5/25/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: 28,275,634 [7315]
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 1,101,188 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 29,376,822 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 7,359 [7351]
   Less: amount offset by customer owned securities: 0 [7352] 7,359 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 29,384,181 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 29,384,181 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States: 9,172,092 [7500]
   B. Other banks qualified under Regulation 30.7: 5,078,544 [7520] 14,250,636 [7530]

2. Securities
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash: 7,598,536 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 7,598,536 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: 11,547,453 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 11,547,453 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash: 1,067,627 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 1,067,627 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 34,464,252 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 5,080,071 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 4,080,071 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash [1,290,551,278](7010)
   - B. Securities (at market) [107,576,530](7020)
2. Net unrealized profit (loss) in open futures contracts traded on a contract market [-91,378,536](7030)
3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market [3,773,695,513](7032)
   - B. Deduct market value of open option contracts granted (sold) on a contract market [-3,242,148,684](7033)
4. Net equity (deficit) (add lines 1, 2 and 3) [1,838,296,101](7040)
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [222,755](7045)
   - Less: amount offset by customer securities [-117,186](7047) [105,569](7050)
6. Amount required to be segregated (add lines 4 and 5) [1,838,401,670](7060)
7. Deposited in segregated funds bank accounts
   - A. Cash [586,148,475](7070)
   - B. Securities representing investments of customers' funds (at market) [0](7080)
   - C. Securities held for particular customers or option customers in lieu of cash (at market) [496,541](7090)
8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash [181,896,845](7100)
   - B. Securities representing investments of customers' funds (at market) [474,997,105](7110)
   - C. Securities held for particular customers or option customers in lieu of cash (at market) [102,169,133](7120)
9. Net settlement from (to) derivatives clearing organizations of contract markets [-15,258,854](7130)
10. Exchange traded options
    - A. Value of open long option contracts [3,773,695,513](7132)
    - B. Value of open short option contracts [-3,242,148,684](7133)
11. Net equities with other FCMs
    - A. Net liquidating equity [0](7140)
    - B. Securities representing investments of customers' funds (at market) [0](7160)
    - C. Securities held for particular customers or option customers in lieu of cash (at market) [0](7170)
12. Segregated funds on hand [4,910,856](7150)
13. Total amount in segregation (add lines 7 through 12) [1,866,906,930](7180)
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) [28,505,260](7190)
15. Management Target Amount for Excess funds in segregation [19,000,000](7194)
16. Excess (deficiency) funds in segregation over (under) Management Target Amount [9,505,260](7198)

Excess
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]