INITIAL
End Date: 5/25/2021
Firm Name: WEUSBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/26/2021
Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>27,172,106</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>1,283,730</td>
</tr>
<tr>
<td>3. Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>4. Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>28,455,836</td>
</tr>
<tr>
<td>5. Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>6,407</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0</td>
</tr>
<tr>
<td>6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>28,462,243</td>
</tr>
<tr>
<td>7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6</td>
<td>28,462,243</td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States | 8,072,540 |
   - B. Other banks qualified under Regulation 30.7 | 4,979,254 |
2. Securities
   - A. In safekeeping with banks located in the United States | 0 |
   - B. In safekeeping with other banks qualified under Regulation 30.7 | 0 |
3. Equities with registered futures commission merchants
   - A. Cash | 7,534,827 |
   - B. Securities | 0 |
   - C. Unrealized gain (loss) on open futures contracts | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 0 |
4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash | 11,668,699 |
   - B. Securities | 0 |
   - C. Amount due to (from) clearing organization - daily variation | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 0 |
5. Amounts held by members of foreign boards of trade
   - A. Cash | 1,047,533 |
   - B. Securities | 0 |
   - C. Unrealized gain (loss) on open futures contracts | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 0 |
6. Amounts with other depositories designated by a foreign board of trade | 0 |
7. Segregated funds on hand | 0 |
8. Total funds in separate section 30.7 accounts | 33,302,853 |
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 4,840,610 |
10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 |
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 3,840,610 |
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. Net ledger balance
   - A. Cash: \( 1,273,444,054 \) [7010]
   - B. Securities (at market): \( 106,372,599 \) [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - \( -59,564,390 \) [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: \( 3,738,765,135 \) [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \( -3,185,601,256 \) [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - \( 1,873,416,142 \) [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer securities
     - \( 275,274 \) [7047] \( 121,708 \) [7050]
   - \( 121,708 \) [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - \( 1,873,537,850 \) [7060]

7. Deposited in segregated funds bank accounts
   - A. Cash: \( 587,936,315 \) [7070]
   - B. Securities representing investments of customers' funds (at market)
     - \( 0 \) [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \( 507,374 \) [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: \( 130,969,890 \) [7100]
   - B. Securities representing investments of customers' funds (at market): \( 524,992,329 \) [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \( 100,969,133 \) [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - \( -1,283,953 \) [7130]

10. Exchange traded options
    - A. Value of open long option contracts: \( 3,738,765,135 \) [7132]
    - B. Value of open short option contracts: \( -3,185,601,256 \) [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: \( 0 \) [7140]
    - B. Securities representing investments of customers' funds (at market)
      - \( 0 \) [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
      - \( 0 \) [7170]

12. Segregated funds on hand
    - \( 4,896,092 \) [7150]

13. Total amount in segregation (add lines 7 through 12)
    - \( 1,902,151,059 \) [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - \( 28,613,209 \) [7190]

15. Management Target Amount for Excess funds in segregation
    - \( 19,000,000 \) [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess
    - \( 9,613,209 \) [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management