INITIAL
End Date: 5/28/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/1/2021
Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**
     - 27,715,510 [7315]  
   - **B. Securities (at market)**
     - 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - 1,348,294 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**
     - 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**
     - 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 29,063,804 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 6,041 [7351]
   - Less: amount offset by customer owned securities
     - 0 [7352] 6,041 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 29,069,845 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 29,069,845 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - **A. Banks located in the United States**
     - 6,854,553 [7500]
   - **B. Other banks qualified under Regulation 30.7**
     - 7,206,708 [7520] 14,061,261 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**
     - 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**
     - 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**
     - 8,090,775 [7580]
   - **B. Securities**
     - 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**
     - 0 [7600]
   - **D. Value of long option contracts**
     - 0 [7610]
   - **E. Value of short option contracts**
     - 0 [7615] 8,090,775 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**
     - 10,685,820 [7640]
   - **B. Securities**
     - 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**
     - 0 [7660]
   - **D. Value of long option contracts**
     - 0 [7670]
   - **E. Value of short option contracts**
     - 0 [7675] 10,685,820 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**
     - 1,355,454 [7700]
   - **B. Securities**
     - 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**
     - 0 [7720]
   - **D. Value of long option contracts**
     - 0 [7730]
   - **E. Value of short option contracts**
     - 0 [7735] 1,355,454 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 34,193,310 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Segured Statement Page 1 from Line 8)**
   - 5,123,465 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 4,123,465 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: $1,213,677,035
   - **Securities (at market)**: $104,886,969

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Value**: -$27,270,510

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $3,827,784,211
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -$3,303,727,460

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Value**: $1,815,350,245

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Value**: $554,119
   - **Less: amount offset by customer securities**: -$432,628
   - **Amount required to be segregated (add lines 4 and 5)**
     - **Value**: $1,815,471,736

**FUNDS IN SEGREGATED ACCOUNTS**

6. **Deposited in segregated funds bank accounts**
   - **Cash**: $559,474,071
   - **Securities representing investments of customers' funds (at market)**: $0
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $508,070

7. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: $234,358,152
   - **Securities representing investments of customers' funds (at market)**: $424,994,798
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $99,469,133

8. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **Value**: -$2,521,749

9. **Exchange traded options**
   - **A. Value of open long option contracts**: $3,827,784,211
   - **B. Value of open short option contracts**: -$3,303,727,460

10. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0
    - **B. Securities representing investments of customers' funds (at market)**: $0
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0

11. **Segregated funds on hand**
    - **Value**: $4,909,766

12. **Total amount in segregation (add lines 7 through 12)**
    - **Value**: $1,845,248,992

13. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **Value**: $29,777,256

14. **Management Target Amount for Excess funds in segregation**
    - **Value**: $19,000,000

15. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Value**: $10,777,256
    - **Excess**
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash [8500]
   - B. Securities (at market) [8510]

2. **Net unrealized profit (loss) in open cleared swaps** [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** [8570] [8580]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650]

9. **Net settlement from (to) derivatives clearing organizations** [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts [8670]
    - B. Value of open cleared swaps short option contracts [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash [8715]
    - B. Securities representing investments of cleared swaps customers' funds (at market) [8720]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8730]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** [8740]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** [8750]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** [8770]