INITIAL
End Date: 6/4/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/7/2021
WEDBUSH SECURITIES INC

Kevin Wirth

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder. 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 513,001 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 29,575,752 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 14,544 [7351]

Less: amount offset by customer owned securities 0 [7352] 14,544 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 29,590,296 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 29,590,296 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States 8,870,997 [7500]
   - B. Other banks qualified under Regulation 30.7 6,904,019 [7520] 15,775,016 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash
   - B. Securities 6,737,598 [7580]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 6,737,598 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 10,879,281 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 10,879,281 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 1,129,198 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 1,129,198 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 34,521,093 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,930,797 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,930,797 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,287,980,990 [7010]
   - B. Securities (at market): 104,818,485 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -12,718,583 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 4,101,379,624 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,662,239,495 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,819,221,021 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: -131,723 [7047]
   - 121,779 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,819,342,800 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - Deposited in segregated funds bank accounts:
     - A. Cash: 550,272,370 [7070]
     - B. Securities representing investments of customers’ funds (at market): 0 [7080]
     - C. Securities held for particular customers or option customers in lieu of cash (at market): 511,627 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 327,362,153 [7100]
   - B. Securities representing investments of customers’ funds (at market): 424,992,534 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 99,469,133 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 1,497,622 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 4,101,379,624 [7132]
    - B. Value of open short option contracts: -3,662,239,495 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 4,837,725 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,848,083,293 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 28,740,493 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 9,740,493 [7198]
<table>
<thead>
<tr>
<th>Cleared Swaps Customer Requirements</th>
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<td>1. Net ledger balance</td>
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<td>A. Cash 0 [8500]</td>
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<tr>
<td>B. Securities (at market) 0 [8510]</td>
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<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps 0 [8520]</td>
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<tr>
<td>3. Cleared swaps options</td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased 0 [8530]</td>
</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]</td>
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<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]</td>
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<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]</td>
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<tr>
<td>Less: amount offset by customer owned securities 0 [8580]</td>
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<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]</td>
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<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
</tr>
<tr>
<td>A. Cash 0 [8600]</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]</td>
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<td>B. Value of open cleared swaps short option contracts 0 [8680]</td>
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<td>11. Net equities with other FCMs</td>
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<td>A. Net liquidating equity 0 [8690]</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]</td>
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<td>12. Cleared swaps customer funds on hand</td>
</tr>
<tr>
<td>A. Cash 0</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8715]</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8720]</td>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]</td>
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<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]</td>
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