INITIAL
End Date: 6/14/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/15/2021
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0%
   c. Dollar amount range between: ; or 0-0
   d. Percentage range of customer segregated funds required between: % and %.
      0-0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0%
   c. Dollar amount range between: ; or 0-0
   d. Percentage range of customer secured funds required between: % and %.
      0-0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
   c. Dollar amount range between: ; or 0-0
   d. Percentage range of cleared swaps customer collateral required between: % and %.
      0-0

Attach supporting documents
INITIAL  
End Date: 6/14/2021  
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### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Net ledger balance - Foreign Futures and Foreign Opinion Trading - All Customers</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>28,028,789</td>
</tr>
<tr>
<td></td>
<td>B. Securities (at market)</td>
<td>0</td>
</tr>
<tr>
<td>2.</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>1,722,900</td>
</tr>
<tr>
<td>3.</td>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>4.</td>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>29,751,689</td>
</tr>
<tr>
<td>5.</td>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>7,445</td>
</tr>
<tr>
<td></td>
<td>Less: amount offset by customer owned securities</td>
<td>7,445</td>
</tr>
<tr>
<td>6.</td>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>29,759,134</td>
</tr>
<tr>
<td>7.</td>
<td>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</td>
<td>29,759,134</td>
</tr>
</tbody>
</table>

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Cash in banks</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Banks located in the United States</td>
<td>8,232,639</td>
</tr>
<tr>
<td></td>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>5,192,517</td>
</tr>
<tr>
<td>2.</td>
<td>Securities</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. In safekeeping with banks located in the United States</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0</td>
</tr>
<tr>
<td>3.</td>
<td>Equities with registered futures commission merchants</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>7,265,308</td>
</tr>
<tr>
<td></td>
<td>B. Securities</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>D. Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>E. Value of short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>4.</td>
<td>Amounts held by clearing organizations of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>12,984,285</td>
</tr>
<tr>
<td></td>
<td>B. Securities</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>D. Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>E. Value of short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>5.</td>
<td>Amounts held by members of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>880,828</td>
</tr>
<tr>
<td></td>
<td>B. Securities</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>D. Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>E. Value of short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>6.</td>
<td>Amounts with other depositories designated by a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>7.</td>
<td>Segregated funds on hand</td>
<td>0</td>
</tr>
<tr>
<td>8.</td>
<td>Total funds in separate section 30.7 accounts</td>
<td>34,555,577</td>
</tr>
<tr>
<td>9.</td>
<td>Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</td>
<td>4,796,443</td>
</tr>
<tr>
<td>10.</td>
<td>Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000</td>
</tr>
<tr>
<td>11.</td>
<td>Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>3,796,443</td>
</tr>
</tbody>
</table>
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
     - \[1,229,310,872\] [7010]
   - **B. Securities (at market)**
     - \[129,694,056\] [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \[-249,187,899\] [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - \[3,837,351,826\] [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - \[-3,270,324,751\] [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \[1,676,844,104\] [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities
     - \[-127,297\] [7047] \[99,720\] [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - \[1,676,943,824\] [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**
     - \[589,535,325\] [7070]
   - **B. Securities representing investments of customers’ funds (at market)**
     - \[0\] [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \[505,985\] [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - \[98,491,032\] [7100]
   - **B. Securities representing investments of customers’ funds (at market)**
     - \[424,992,438\] [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \[124,277,634\] [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \[-105,224,384\] [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - \[3,837,351,826\] [7132]
    - **B. Value of open short option contracts**
      - \[-3,270,324,751\] [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - \[0\] [7140]
    - **B. Securities representing investments of customers’ funds (at market)**
      - \[0\] [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - \[0\] [7170]

12. **Segregated funds on hand**
    - \[4,910,437\] [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - \[1,704,515,542\] [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \[27,571,718\] [7190]

15. **Management Target Amount for Excess funds in segregation**
    - \[19,000,000\] [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - \[8,571,718\] [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]