INITIAL
End Date: 6/15/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/16/2021

Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**Daily Segregation - Secured Amounts**

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - Cash: $29,170,745
   - Securities (at market): $0

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: $527,540

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: $0
   - b. Market value of open contracts granted (sold) on a foreign board of trade: $0

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: $29,698,285

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: $9,596

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: $29,707,881

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: $29,707,881

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: $8,540,694
   - B. Other banks qualified under Regulation 30.7: $5,271,321

2. **Securities**
   - A. In safekeeping with banks located in the United States: $0
   - B. In safekeeping with other banks qualified under Regulation 30.7: $0

3. **Equities with registered futures commission merchants**
   - A. Cash: $6,629,978
   - B. Securities: $0
   - C. Unrealized gain (loss) on open futures contracts: $0
   - D. Value of long option contracts: $0
   - E. Value of short option contracts: $0

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: $13,067,884
   - B. Securities: $0
   - C. Amount due to (from) clearing organization - daily variation: $0
   - D. Value of long option contracts: $0
   - E. Value of short option contracts: $0

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: $1,043,559
   - B. Securities: $0
   - C. Unrealized gain (loss) on open futures contracts: $0
   - D. Value of long option contracts: $0
   - E. Value of short option contracts: $0

6. **Amounts with other depositories designated by a foreign board of trade**: $0

7. **Segregated funds on hand**: $0

8. **Total funds in separate section 30.7 accounts**: $34,553,436

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: $4,845,555

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: $1,000,000

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: $3,845,555
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash** 1,180,514,814 [7010]
   - **B. Securities (at market)** 129,678,408 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** -152,798,101 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 3,866,539,928 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -3,263,588,640 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,760,346,409 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 229,823 [7045]
   - Less: amount offset by customer securities -122,689 [7047] 107,134 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,760,453,543 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 463,980,679 [7070]
   - **B. Securities representing investments of customers' funds (at market)** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 510,886 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 66,739,381 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 424,991,241 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 124,277,634 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 100,644,147 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 3,866,539,928 [7132]
    - **B. Value of open short option contracts** -3,263,588,640 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 4,889,888 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,788,985,144 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 28,531,601 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**

    - **Excess** 9,531,601 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]