INITIAL
End Date: 6/17/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/18/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: 0 and 0%
d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: 0 and 0%
d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: 0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: 0 and 0%
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: 28,405,841 [7315]
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 13,103 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 28,418,944 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 8,599 [7351]
   Less: amount offset by customer owned securities: 0 [7352] 8,599 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5): 28,427,543 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
   6.: 28,427,543 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States: 7,622,350 [7500]
   B. Other banks qualified under Regulation 30.7: 7,301,834 [7520] 14,924,184 [7530]

2. Securities
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash: 5,978,133 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 5,978,133 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: 12,030,886 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 12,030,886 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash: 874,993 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 874,993 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]
7. Segregated funds on hand: 0 [7765]
8. Total funds in separate section 30.7 accounts: 33,808,196 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
   Page 1 from Line 8): 5,380,653 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    4,380,653 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - \(1,145,327,031\)
   - **B. Securities (at market)**
     - \(129,649,646\)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \(-256,440,809\)

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - \(3,771,294,363\)
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - \(-3,011,224,233\)

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \(1,778,605,998\)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \(447,460\)
   - **Less: amount offset by customer securities**
     - \(-293,997\)
     - \(153,463\)

6. **Amount required to be segregated (add lines 4 and 5)**
   - \(1,778,759,461\)

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**
     - \(501,884,567\)
   - **B. Securities representing investments of customers' funds (at market)**
     - \(0\)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \(514,669\)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - \(50,676,295\)
   - **B. Securities representing investments of customers' funds (at market)**
     - \(424,983,156\)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \(124,277,634\)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \(-57,641,163\)

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - \(3,771,294,363\)
    - **B. Value of open short option contracts**
      - \(-3,011,224,233\)

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - \(0\)
    - **B. Securities representing investments of customers' funds (at market)**
      - \(0\)
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - \(0\)

12. **Segregated funds on hand**
    - \(4,857,343\)

13. **Total amount in segregation (add lines 7 through 12)**
    - \(1,809,622,631\)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \(30,863,170\)

15. **Management Target Amount for Excess funds in segregation**
    - \(19,000,000\)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - \(11,863,170\)
<table>
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<tr>
<th>Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA</th>
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